

1 **Synaptic and cognitive impairment associated with L444P** 2 **heterozygous glucocerebrosidase mutation**

3 Wudu Lado,^{1,†} Ahrom Ham,^{1,†} Hongyu Li,^{1,†} Hong Zhang,² Audrey Yuen Chang,¹ Sergio Pablo
4 Sardi,³ Roy N. Alcalay,^{1,4} Ottavio Arancio,² Serge Przedborsky¹ and Guomei Tang¹

5 †These authors contributed equally to this work.

6 **Abstract**

7 Cognitive impairment is a common but poorly understood non-motor aspect of Parkinson's
8 disease, negatively affecting patient's functional capacity and quality of life. The mechanisms
9 underlying cognitive impairment in Parkinson's disease are still elusive, limiting treatment and
10 prevention strategies.

11 This study investigates the molecular and cellular basis of cognitive impairment associated with
12 heterozygous mutations in *GBA1*, the strongest risk gene for *Parkinson's* disease that encodes
13 glucocerebrosidase (GCase), a lysosome enzyme that degrades the glycosphingolipid
14 glucosylceramide into glucose and ceramide. Using a *Gba1*^{L444P/+} mouse model, we provide
15 evidence that L444P heterozygous *Gba1* mutation (L444P/+) causes hippocampus-dependent
16 spatial and reference memory deficits independently of α -synuclein (α Syn) accumulation, GCase
17 lipid substrate accumulation, dopaminergic dysfunction and motor deficits. The mutation disrupts
18 hippocampal synaptic plasticity and basal synaptic transmission by reducing the density of
19 hippocampal CA3-CA1 synapses, a mechanism that is dissociated from α Syn-mediated
20 presynaptic neurotransmitter release. Using a well-characterized Thy1- α Syn pre-manifest
21 Parkinson's disease mouse model overexpressing wild type human α Syn, we find that the L444P/+
22 mutation exacerbates hippocampal synaptic α Syn accumulation, synaptic and cognitive
23 impairment in young *Gba1*^{L444P/+}:Thy1- α Syn double mutant animals. With age, Thy1- α Syn mice
24 manifest motor symptoms, and the double mutant mice exhibit more exacerbated synaptic and
25 motor impairment than the Thy1- α Syn mice.

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1 Taken together, our results suggest that heterozygous L444P *GBA1* mutation alone perturbs
2 hippocampal synaptic structure and function, imposing a subclinical pathological burden for
3 cognitive impairment. When co-existing α Syn overexpression is present, heterozygous L444P
4 *GBA1* mutation interacts with α Syn pathology to accelerate Parkinson's disease-related cognitive
5 impairment and motor symptoms.

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7 **Author affiliations:**

8 1 Department of Neurology, Columbia University Irving Medical Center, New York, NY10032,
9 USA

10 2 Department of Pathology and Cell Biology, Columbia University Irving Medical Center, New
11 York, NY10032, USA

12 3 Rare and Neurologic Diseases Research, Sanofi, Cambridge, MA 02141, USA

13 4 Movement Disorders Division, Department of Neurology, Tel Aviv Sourasky Medical Center,
14 Tel Aviv 64239, Israel

15

16 Correspondence to: Guomei Tang

17 Department of Neurology, Columbia University Irving Medical Center

18 630 West 168th street, BB301, New York, NY10032, USA

19 E-mail: gt2107@cumc.columbia.edu

20

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24

1 Introduction

2 Parkinson's disease is the most common neurodegenerative movement disorder characterized by
3 tremor, rigidity, and bradykinesia. ¹ It is also accompanied by cognitive impairment, including
4 executive dysfunctions and deficits in visuo-spatial working and episodic memory, which often
5 appear in an early, premotor phase of disease, and progressively increase in intensity. ²⁻⁵ Current
6 treatments mainly target motor symptoms, leaving therapy for cognitive deficits an unmet clinical
7 need. ⁶

8 Accumulating evidence suggests a strong association between Parkinson's disease and mutations
9 in *GBA1*, ⁷ the gene that encodes the lysosome enzyme beta-glucocerebrosidase (GCase), which
10 breaks down glucosylceramide (GlcCer) into glucose and ceramide. Homozygous *GBA1*
11 mutations cause Gaucher disease, the most common lysosome storage disorder, ⁸ whereas
12 heterozygous mutations of *GBA1* confer increased risk for Parkinson's disease. ⁹ Compared to
13 idiopathic Parkinson's disease patients without *GBA1* mutations (non-*GBA1*-PD), patients
14 carrying heterozygous *GBA1* mutations (*GBA1*-PD) exhibit an earlier age at onset, more severe
15 cognitive impairment, accelerated cognitive decline and higher incidence of dementia. ^{10, 11}

16 The mechanism by which *GBA1* mutations increase susceptibility to Parkinson's disease and
17 accelerates the disease progression remains elusive. Given that *GBA1*-PD patients exhibit more
18 diffuse neocortical and hippocampal Lewy body (LB) pathology than non-*GBA1*-PD patients, ¹²
19 and that GCase protein is present in ~ 75% of LBs in *GBA1*-PD versus 4% in non-*GBA1*-PD
20 patients, ¹³ mutations in *GBA1* have been implicated in the development of LB pathology, a feature
21 that is positively correlated with cognitive and motor dysfunction. ¹² Consistently, studies have
22 revealed a bidirectional pathogenic interplay between α -synuclein (α Syn) accumulation and GCase
23 deficiency: ^{14, 15, 16} the loss of GCase enzyme activity causes GlcCer accumulation. The later
24 stabilizes α -Syn oligomers, leading to a further loss of GCase activity. This vicious cycle
25 exacerbates LB pathology, which explains the more rapid progression of motor and non-motor
26 symptoms in *GBA1*-PD. A similar mechanism was proposed for non-*GBA1*-PD, given that GCase
27 protein levels and enzyme activities are reduced in brains of non-*GBA1*-PD patients. ^{17, 18, 19}

28 Mutant *Gba1* knockin mice have been generated to model the susceptibility to Parkinson's disease
29 related α Syn pathology and motor symptoms. Among these, heterozygous L444P (L444P/+)

1 mutant (*Gba1*^{L444P/+}) mice are most well-characterized, which carry a severe *Gba1* mutation with
2 ~60% residual GCCase activity. ^{20, 21, 22, 23} The mice exhibit Parkinson's disease-like molecular
3 changes, including impaired autophagy-lysosomal degradation, impaired mitochondrial
4 autophagy, mitochondrial dysfunction, and α Syn accumulation, ²⁰ but no signs of nigrostriatal
5 neurodegeneration and motor dysfunction. ^{16, 21, 23} The L444P/+ mutation, however, exacerbates
6 motor and gastrointestinal deficits in mice overexpressing human A53T mutant α Syn gene SNCA,
7 ²² and enhances dopaminergic neurodegeneration induced by 1-methyl-4-phenyl-1,2,3,6-
8 tetrahydropyridine (MPTP), ²¹ AAV-mediated human α Syn overexpression. ²³

9 Cognitive impairment associated with *GBA1* mutations is less well studied. Here, we show
10 evidence for hippocampus-dependent memory loss in *Gba1*^{L444P/+} mice. The L444P/+ mutation
11 impairs hippocampal synaptic plasticity and basal synaptic transmission by disrupting synaptic
12 structures, an effect that is dissociated from the accumulation of GCCase substrates and α Syn,
13 dopaminergic dysfunction and motor deficits. By crossing *Gba1*^{L444P/+} mice to Thy1- α Syn mice
14 overexpressing wild type human α Syn, we generated a *Gba1*^{L444P/+}:Thy1- α Syn mouse model. With
15 this double mutant model, we find that the L444P/+ mutation interacts with co-existing α Syn
16 overexpression to exacerbate synaptic and cognitive impairment in young animals, and to augment
17 motor symptoms at older ages. Our study provides first evidence that L444P/+ *Gba1* mutation
18 increases the susceptibility of hippocampal synapse degeneration and cognitive impairment
19 independently of α Syn and lipid accumulation. When α Syn overexpression is present, L444P/+
20 mutation precipitates α Syn pathology, leading to more severe Parkinson's disease-related
21 cognitive and motor symptoms.

22

23 **Materials and methods**

24 **Mouse Models**

25 *Gba1*^{L444P/+} mice were from MMRRC (stock number 000117-UNC, B6;129S4 background).
26 *SncKO* (#003692), *Gba1*^{flox/flox} (#021329), DAT-Cre (#006660) and Cre reporter (#007909) mice
27 were from Jackson Laboratory. Thy1- α Syn mice were a gift from Drs. Eliezer Masliah and Marie-
28 Francoise Chesselet. We crossed *Gba1*^{L444P/+} mice with *SncKO* mice to obtain Control,

1 *Gbal*^{L444P/+}, *Snca*^{+/-}, *Snca*KO, *Gbal*^{L444P/+}:*Snca*^{+/-} and *Gbal*^{L444P/+}:*Snca*KO littermates, and
2 with Thy1- α Syn mice to generate control (CTRL), *Gbal*^{L444P/+}, Thy1- α Syn and *Gbal*^{L444P/+}:Thy1-
3 α Syn double mutant mice. *Gbal*^{flox/flox} mice were crossed to DAT-Cre mice to generate
4 dopaminergic neuronal specific *Gbal* conditional knockout mice. All mouse procedures were
5 reviewed and approved by Columbia University Medical Center Institutional Animal Care and
6 Use Committee. Mice were maintained under pathogen free conditions under a 12/12 h light/dark
7 cycle, and housed in individually ventilated cages containing cob bedding and environmental
8 enrichment with food and water ad libitum.

9 **Behavioral Analysis**

10 Mice were subjected to behavioral analysis at the ages of 2.5 months and 7 months, using protocols
11 described in Puzzo et al. 2014²⁴ and in Wu et al., 2022.²⁵

12 **Novel object recognition (NOR)**

13 The mouse was habituated in a translucent arena for 10 min.²⁵ Twenty-four hours (h) later, the
14 mouse was reintroduced to the arena for 15 minutes, with two identical objects (yellow rubber
15 ducks) placed in opposite corners. After a 30-min break, the mouse was placed back into the arena
16 with one original object and one novel object (yellow cylinder) in the same opposite corners, and
17 video recorded for 15 minutes. After each session of the NOR, the arena and objects were cleaned
18 with 75% ethanol followed by clean water to ensure that behavior of animals was not guided by
19 odor cues. Accumulative time spent sniffing the novel object vs. the original/familiar object was
20 analyzed using Observer XT software (Noldus).

21 **Open field performance**

22 Mice were assessed in an open field arena (27.31 × 27.31×20.32 cm) for 60 minutes.²⁵ Videos
23 were analyzed with the automated tracking program ANY-Maze (Stoelting). Locomotion activities
24 were determined by measuring total distance traveled (m), anxiety-like behaviors were determined
25 by measuring time in the center (minute) and the number of rearing events.

1 **Contextual and fear conditioning (FC) tests**

2 FC tests were conducted in Plexiglas chambers (Noldus) and recorded using Ethovision XT
3 software.^{24,25} Mice were exposed to the context for 2 min, followed by a conditioning stimulus
4 (CS), e.g., a sound tone (85 dB, 2800 Hz) for 30 s, then by a 0.80 mA foot shock (unconditioned
5 stimulus (US)) for 2 s. After the CS/US pairing, mice were returned to the home cage. Twenty-
6 four hours later, mice were placed back in the same chambers for 5 min to assess contextual fear
7 memory. Another 24 h later, cued fear memory was evaluated in the same chamber with a novel
8 context for 2 min (pre-CS test), after which mice were exposed to the same tone for 3 min (CS
9 test). Freezing response, characterized by lack of movement, was scored automatically by
10 EthoVision software (Noldus). Following FC test, sensory perception of the shock was determined
11 in the same chambers. By increasing the electric current (0.1 mA for 1 s) at 30 s intervals by 0.1 -
12 0.7 mA, the threshold to flinching (first visible response to shock), jumping (first extreme motor
13 response), and screaming (first vocalized distress) was quantified by averaging of the shock
14 intensity at which each animal manifested a behavioral response of that type to the foot shock.

15 **Two-day radial arm water maze (RAWM) test**

16 Two-day RAWM²⁴ was performed in a circular pool (120 cm in diameter) filled with opaque water
17 (~24 °C) and equipped with an apparatus consisting of six arms radiating from the center. Spatial
18 cues were present on the walls of the room. A hidden platform was placed at the end of one arm
19 (goal arm), submerged in the water. When needed, the platform was made visible by lifting it up
20 1 cm above the water and flagged with a yellow bottle cup on the top. Each mouse was tested for
21 15 trials per day, with the goal arm constant for all trials whereas the starting arms varying on
22 successive trials. Each trial lasted up to 1 minute. At the end of each trial, mice stayed on the
23 platform for 15 s. On day 1, mice were trained to find the platform by alternating between a visible
24 and a hidden platform throughout trials 1 to 12. During the last three trials 13-15, only a hidden
25 platform was used. On day 2, the hidden platform was used throughout 15 trials. Errors were
26 counted when the mice failed to enter the goal arm or select an arm. Data were presented as average
27 errors per block (3 trials per block, 5 blocks per day).

1 **Morris water maze (MMW) spatial learning and reference memory**

2 Following the 2-day RAWM test, the 6-arm apparatus was removed from the pool. The tank was
3 divided into 4 quadrants, with a hidden platform placed in the quadrant 4 (target quadrant). Mice
4 were trained to find the hidden platform for 2 sessions (4 hours apart) per day, each consisting of
5 4 trials (1 minute each), for 3 days. Time required to reach the hidden platform (escape latency) in
6 the target quadrant was documented. ²⁴ At the end of each trial, mice were guided to the platform
7 and allowed to stay for 20 s. The training was followed by 4 probe trials on day 4, with the platform
8 removed to test the retention of spatial memory. ²⁴ EthoVision (Noldus) video tracking was used
9 to chart the percent of time the animal spent in the target quadrant.

10 **Visible platform test**

11 A visible platform task ²⁴ was applied to assess the visual, motor, and motivation skills of the mice.
12 Mice underwent 3 sets of tests, each consisting of 3 trials in which the mice were trained to find
13 the visible escaping platform. Each trial lasted until the mouse found the platform or until the
14 maximum time of 60 s. Time to reach the platform (latency) and velocity were analyzed using the
15 Ethovision XT video tracking system. The results were shown in 3 blocks and each block
16 represented the average of one set of experiment.

17 **Accelerating Rotarod**

18 Motor coordination and balance were measured using a rotarod at an initial speed of 4 rpm,
19 accelerating up to 40 rpm within 5 minutes. ²⁵ The time (in seconds) taken for a mouse to fall from
20 the rod was measured. Mice were trained for 3 days, three trials per day (inter-training interval, 30
21 min), and tested with three trials on day 4.

22 **Balance Beam walking**

23 The apparatus consists of 1-meter beam with a flat surface of 12 mm resting 50 cm above the table
24 top. A black box was placed at the end of the beam as the finish point. A lamp was above the start
25 point and the light served as an aversive stimulus. Mice were placed at the start point of the beam
26 and the time to cross the beam was documented.

1 **Western Blotting Analysis**

2 Mice were sacrificed by cervical dislocation. Hippocampal protein lysates were prepared and
3 subjected to Western blotting analysis, as in Wu et al., 2022.²⁵ Triton X-100 (Sigma, T9204)-
4 soluble and -insoluble α Syn protein was assessed as described in Rockenstein et al., 2014²⁶ and
5 Li et al., 2019.²⁰ Blots were imaged using the Bio-Rad ChemiDoc™ Touch Imaging System. The
6 optical densities of protein bands were quantified using Image J, and data were expressed as
7 percentage (%) of control mean and presented as mean \pm SEM. Four mice per genotype were used
8 at each age. Primary antibodies were: synapsin I, anti-Rabbit, Millipore #AB1543; synaptophysin,
9 anti-mouse, Abcam #ab8049; GBA, anti-Rabbit, Sigma #G4171; α Syn, anti-Rabbit, Sigma
10 #S3062; PSD95, anti-Rabbit, Abcam #ab18258; PSD95, anti-mouse, Millipore #MAB1596; p-
11 α Syn Ser129, anti-mouse, WAKO #015-25191; actin, anti-mouse, Sigma #A5441; NeuN, anti-
12 rabbit, Cell signaling technology, #24307; Lamin B, anti-rabbit, Abcam #ab116048; GluR1, anti-
13 mouse, NeuroMab #75-327; GAPDH, anti-rabbit, Proteintech, #10494-1-AP. All data were
14 generated from experiments with at least 3 replicates.

15 **Crude Synaptosome Fractionation**

16 Crude synaptosome was fractionated according to Wirths, 2017.²⁷ Hippocampal tissue was
17 homogenized in cold buffer 5 mM HEPES, pH 7.5, 0.32M sucrose supplemented with protease
18 and phosphatase inhibitors. Homogenates were cleared at 1000 g for 10 min to remove nuclei and
19 large debris (P1 fraction). The resulting supernatants were concentrated at 12,000 g for 20 min to
20 obtain the pellet fraction (P2), which was resuspended in 5 mM HEPES, 1 mM EDTA, pH 7.4 to
21 pellet the crude synaptosome fraction (20 min at 12,000 g). Proteins were extracted from total
22 homogenates, the nuclear fraction, the cytosolic and the synaptosome fractions and subjected to
23 Western blot analysis, as in Wu et al., 2022²⁵.

24 **Immunohistochemistry and DiOlistic Labeling**

25 Mice were anesthetized with isoflurane and perfused with 4 % paraformaldehyde (PFA)(w/v) in
26 0.1M PBS (PH7.4). For Immunohistochemistry (IHC), brains were removed and post-fixed in 4%
27 PFA at 4°C overnight. 30 μ m thick coronal sections were prepared using a Leica VT1000S

1 vibratome, and subjected to IHC, as described in Wu et al., 2022.²⁵ Experiments without primary
2 antibodies were conducted to control for the specificity of each primary antibody. For all
3 experiments, 3 mice were used for each genotype/condition, and 3-5 coronal sections containing
4 hippocampus were used from each mouse.

5 DiOlistic labeling was conducted in 200 μm thick slices prepared immediately following perfusion
6 fixation, according to Wu et al., 2022.²⁵ Hippocampal slices were labeled with DiI (ThermoFisher
7 Scientific, #D282) using a Helios gene gun system at 120 pounds per square inch (psi). Segments
8 of basal and apical dendrites 100-200 μm distant from the soma were imaged with a Leica
9 multiphoton system. Twenty DiI- labeled CA1 pyramidal neurons were randomly chosen from 3
10 mice and 3-4 dendritic segments per neuron were imaged. Z-stack images (pixel size 1024 X 1024,
11 image size 42 X 42 μm , step size 0.2 μm) of dendritic segments were reconstructed using Imaris
12 software (Bitplane) for spine analysis. Mature mushroom spines were defined as dendritic
13 protrusions with head/neck diameter ratio 1.1.²⁵ For the analysis of dendrites, confocal image
14 stacks (pixel size 1024 X 1024, image size 295X295 μm , step size 0.3 μm) were acquired. Merged
15 images were subjected to sholl analysis using Image J, as described in Wu et al., 2022.²⁵

16 **Slice Physiology**

17 Acute brain slices were prepared as in Wu et al., 2022.²⁵ Slices were recovered in artificial CSF
18 (aCSF, in mM: 125 NaCl, 2.5 KCl, 26 NaHCO₃, 1.25 NaH₂PO₄, 2 CaCl₂, 1.5 MgCl₂, 10 D-
19 glucose). Following recovery, slices were transferred to a recording chamber and perfused with
20 oxygenated aCSF (3 ml/min) at 32°C. Cells were patched using a glass pipette (tip resistance, 3-5
21 m Ω) filled with intracellular pipette solution (in mM): 130 K-gluconate, 10 KCl, 10 HEPES, 0.2
22 EGTA, 0.3 CaCl₂, 1 MgCl₂, 3 Mg-ATP, 0.3 Na-GTP (pH 7.3 with KOH). We measured (1)
23 miniature excitatory postsynaptic currents (EPSCs) by holding membrane potential at -70 mV
24 using pCLAMP 8.2.0.235 and MultiClamp 700A commander (version 1.3.0.05) in presence of 1
25 μM TTX (Tocris, #1069); (2) evoked EPSCs, by placing a tungsten concentric bipolar
26 microelectrode (World Precision Instruments, Inc., USA) at Schaffer collateral. Extracellular
27 stimulation (0.2 ms duration, 200 μA current intensity) was applied using an Isostim™ A320
28 Stimulus isolation unit (World Precision Instruments, Inc., USA). The peak of AMPAR-mediated
29 EPSCs was measured by holding membrane potential at -70 mV, and the peak of NMDAR-

1 mediated EPSCs was determined at +40 mV, 50 ms from the stimulus artifact. The
2 AMPAR/NMDAR receptor ratio was calculated by dividing the amplitude of the AMPAR current
3 by the NMDAR current; (3) paired pulse facilitation in CA1 pyramidal neurons, elicited by two
4 Schaffer collateral stimuli delivered at the interstimulus interval 50 ms. Paired pulse ratio was
5 defined by the ratio of EPSC amplitude in response to the second versus the first stimulus (P2/P1).
6 For LTP recording, a 20-min baseline of field excitatory postsynaptic potentials (fEPSPs) was
7 recorded every minute at an intensity that evokes a response ~35% of the maximum evoked
8 response. LTP was induced using θ -burst stimulation (TBS, 4 pulses at 100 Hz, with the bursts
9 repeated at 5 Hz and each tetanus including three 10-burst trains separated by 15 s). Responses
10 were recorded for 90 minutes after TBS and measured as fEPSP slope expressed as percentage of
11 baseline.

12 **Gcase Enzyme Activity**

13 GCcase enzyme activity was measured in lysosome-enriched fractions using 4-methylumbelliferyl
14 β -D-glucopyranoside (Sigma, M3633) as a substrate, as in Li et al., 2019.²⁰ All data were acquired
15 from experiments with 4 replicates.

16 **Lipid Extraction and Mass Spectrometry**

17 Lipid Extraction was performed as described previously.^{28,29,30} The lipid extract was spiked with
18 appropriate internal standards, and analyzed using the Agilent 1260 HPLC system coupled to an
19 Agilent 6490 Triple Quadrupole mass spectrometer (Agilent Technologies, Santa Clara, CA).
20 GluCers (GluCer d18:1/16:0, d18:1/18:0, d18:1/22:0, d18:1/24:0, d18:1/24:1, Avanti) and GluSph
21 (GluSph 18:1) were quantified using GluCer d18:1 and GlcSph d18:1 standards (Avanti), as in
22 Sardi et al., 2011.²⁸ Total GlcCer was represented as the sum concentrations of C16:0 through
23 C24:1 chain length variants. Lipid concentration was expressed as ng/mg of tissue sample.²⁸
24 Lipidomic profiles for other lipid classes were quantified according to Clark et al., 2015²⁹ and
25 Surface et al., 2022,³⁰ using multiple reaction monitoring (MRM) transitions developed by Chang
26 et al., 2012³¹ and by referencing to the signal intensities of known quantities of internal standards:
27 PA 14:0/14:0, PC 14:0/14:0, PE 14:0/14:0, PG 15:0/15:0, PI 12:0/13:0, PS 14:0/14:0, BMP
28 14:0/14:0, APG 14:0/14:0/14:0, LPC 13:0, LPE 14:0, LPI 13:0, Cer d18:1/17:0, SM d18:1/12:0,

1 dhSM d18:0/12:0, GalCer d18:1/12:0, Sulf d18:1/12:0, LacCer d18:1/12:0, CE 17:0, MG 17:0,
2 DG, TG 16:0/18:0/16:0 and Lipidomix HP(25) (Avanti Polar Lipids, Alabaster, AL). GM3 and
3 globotriaosylceramide (Gb3) lipid species were referenced to the internal standards that were
4 closest to it in the elution gradient. Mol% values were calculated by dividing individual lipid
5 concentration by the total lipid concentration of each sample.

6 **Statistical Analysis**

7 Sample sizes of all mouse experiments were determined based on the relevant published literature
8 in the field. Both genders were considered unless specified. Mice were coded and randomly
9 assigned to each experiment, and all investigators were blinded to group allocation and genotypes
10 during data acquisition and analysis. Statistical analyses were performed using Graphpad Prism
11 software. We used Kolmogorov-Smirnov and D'Agostino-Pearson normality tests to determine
12 data normality, and F-test to compare variance between groups. Differences between two groups
13 were analyzed by two-tailed unpaired t-test (normal distribution and equal variance), Welch's *t*-
14 test (normal distribution and unequal variance) or Mann-Whitney test (non-normal distribution).
15 Multiple group comparisons were conducted by one-way or two-way ANOVA followed by
16 Tukey's multiple comparisons test or Fisher's least significant difference (LSD) test. All data were
17 presented as mean \pm SEM. The level of significance was set at $p < 0.05$.

18 **Results**

19 ***Gba1*^{L444P/+} mice exhibit cognitive impairment without motor**

20 **involvement**

21 Using the fear conditioning (FC) test, we assessed the ability of *Gba1*^{L444P/+} mice to learn and
22 memorize an association between an aversive stimulus, i.e., an electrical foot shock, and
23 complex stimuli such as context (e.g., a new environment) or cues (e.g., a tone). This learning
24 paradigm relies on the hippocampus and amygdala, with the hippocampus indispensable for
25 contextual learning, whereas the amygdala involved in cued conditioning.²⁴ Compared to wild
26 type (WT) control littermates (CTRL), *Gba1*^{L444P/+} mice showed impairments in contextual but
27 not cued memory at 7 months of age (**Fig. 1A**). Our data corroborate a recent report of reduced

1 contextual memory in *Gba1*^{L444P/+} mice at 3 months of age.³² Further assessment of the sensory
2 threshold revealed no between-group difference (**Fig. 1B**), suggesting that L444P/+ mutation
3 does not affect perception of the electric shock. These data indicate that *Gba1*^{L444P/+} mice have a
4 selective hippocampus-dependent impairment in associative learning and memory.

5 Hippocampus dependent working memory was assessed in a radial arm water maze (RAWM).²⁴
6 At 7 months, compared to CTRL, *Gba1*^{L444P/+} mice showed increased number of failures to reach
7 the hidden platform during the test session, suggesting impairments in short-term spatial working
8 memory (**Fig.1C**). Spatial reference memory was assessed in a hidden platform Morris water
9 maze (MWM).²⁴ Both CTRL and *Gba1*^{L444P/+} mice performed equally well in spatial learning
10 and showed a progressive reduction in the time to locate the hidden platform during the training
11 session (**Fig. 1D**). In the probe test, *Gba1*^{L444P/+} mice spent significantly less time in the target
12 quadrant than CTRL littermates (**Fig. 1E**), suggesting impairments in spatial memory. Using a
13 visible platform test, we excluded the presence of sensory deficits that may prevent the mice
14 from identifying visual cues, given that all CTRL and *Gba1*^{L444P/+} mice reached the visible
15 platform with similar time and swimming speed (**Fig. 1 F-G**).

16 We next examined novel object recognition (NOR) memory, a declarative memory that mice
17 make use of their innate preference for novel over familiar objects.³³ At 7 months, CTRL mice
18 preferred to sniff the novel object more than the familiar one (**Fig. 1H**), whereas *Gba1*^{L444P/+}
19 mice spent less time exploring the novel object, with impaired preferences for the novel object.

20 To control for locomotor activity, mice were subjected to open field test and recorded for total
21 distance traveled, frequency for rearing and the time spent in center. Motor learning and
22 coordination were assessed using the accelerating rotarod and balance beam tests. No
23 impairments in rotarod, balance beam and open field performances were found in *Gba1*^{L444P/+}
24 mice at 7 months (**Fig. 1 I, J, K**). Therefore, memory loss in *Gba1*^{L444P/+} mice should result from
25 defects in cognition but not altered visual ability, sensory, motility or motivation.

26 By dividing the mice into male and female subgroups, we found that both male and female
27 *Gba1*^{L444P/+} mice showed memory deficits with intact motor function relative to their same-sex
28 control littermates (**Supplementary Fig. 1**). Behavioral tests, including FC, MWM, rotarod and
29 open field tests, were administered in separate cohorts of male and female *Gba1*^{L444P/+} mice at a
30 younger age of 2.5 months. Both male and female *Gba1*^{L444P/+} mice showed comparable

1 impairments in FC contextual memory and MWM spatial reference memory, with no defects in
2 motor functions (**Supplementary Fig. 2**). Together, our data suggest that *Gbal*^{L444P/+} mice do
3 not show age and gender differences in their cognitive phenotypes, and that the presence of the
4 heterozygous *Gbal* mutation per se is sufficient to cause cognitive dysfunction without motor
5 involvement.

6 **Impaired hippocampal synaptic plasticity and basal synaptic** 7 **transmission in *Gbal*^{L444P/+} mice**

8 To assess the effect of *GBA1* mutation on synaptic function that may account for hippocampus-
9 dependent cognitive deficits, we recorded hippocampal CA3-CA1 long term potentiation (LTP),
10 a well-known electrophysiological surrogate of hippocampus-dependent learning and memory. ³⁴
11 Compared to CTRL, *Gbal*^{L444P/+} mice showed impaired LTP at the age of 2.5 months (**Fig. 2A**).

12 We next measured spontaneous activities of hippocampal CA3-CA1 synapses by recording
13 miniature excitatory postsynaptic currents (mEPSCs) in CA1 pyramidal neurons. Compared to
14 CTRL, *Gbal*^{L444P/+} neurons showed a significant reduction in mEPSC frequency at 2.5 months
15 (**Fig. 2B**). Decreased mEPSC frequency is conventionally interpreted to suggest a presynaptic
16 inhibition or a reduction in functional synaptic density. To test this possibility, we examined paired
17 pulse facilitation (PPF), a form of short-term synaptic plasticity that is used to infer the changes in
18 the probability of presynaptic release, in which the response of the second of two consecutive
19 stimuli with a given interstimulus interval (ISI) is higher than the first one. As in **Fig. 2C**, we did
20 not find between-group differences in PPF, suggesting normal presynaptic release in *Gbal*^{L444P/+}
21 mice. Morphological analysis however revealed a reduction in basal dendritic tree complexity and
22 the density of mature dendritic spines of CA1 pyramidal neurons in *Gbal*^{L444P/+} mice (**Fig. 2D and**
23 **E**), indicative of a loss of functional excitatory synapses. The synapse loss was confirmed by
24 Western blot analysis of pre- and post-synaptic markers (**Fig. 2F**), demonstrated by a reduction in
25 levels of presynaptic proteins, e.g., synapsin I (SYN1) and synaptophysin (SYP), and postsynaptic
26 protein PSD95. The levels of the neuronal marker NeuN remained unaltered in the hippocampus
27 of *Gbal*^{L444P/+} mice (**Fig. 2F**). This result coincides with our previous report of normal cell survival
28 in *Gbal*^{L444P/+} hippocampal neurons *in vitro* in cultures, ²⁰ supporting a lack of hippocampal
29 neuronal loss in *Gbal*^{L444P/+} mice. Together, our data indicate that basal synaptic transmission is

1 impaired in *Gba1*^{L444P/+} mice due to a loss of excitatory synapses. **Depletion of α Syn fails**
 2 **to rescue synaptic and cognitive impairments in *Gba1*^{L444P/+} mice**

3 We then asked whether α Syn accumulation accounts for the synaptic and cognitive impairment *in*
 4 *vivo* in *Gba1*^{L444P/+} mice. We downregulated α Syn levels genetically using the α Syn gene (*Snca*)
 5 knockout (KO) mice (**Fig. 3A**). Control, *Gba1*^{L444P/+}, *Snca*^{+/-}, *Snca*KO, *Gba1*^{L444P/+}; *Snca*^{+/-} and
 6 *Gba1*^{L444P/+}; *Snca*KO littermates were subjected to FC, MWM, accelerating rotarod and open field
 7 tests at 2.5 months. Neither *Snca*^{+/-} or *Snca*KO mice showed cognitive and motor impairment,
 8 and the depletion of one or two copies of *Snca* alleles had no effect on learning and memory deficits
 9 in *Gba1*^{L444P/+} mice (**Fig. 3 B-G**).

10 By comparing electrophysiological properties of hippocampal CA3-CA1 synapses among control,
 11 *Gba1*^{L444P/+}, *Snca*KO, and *Gba1*^{L444P/+}; *Snca*KO littermates at 2.5 months, we asked whether
 12 L444P/+ mutation interferes with hippocampal synaptic transmission through α Syn accumulation.
 13 Consistent with previously reported intact hippocampal synaptic plasticity in *Snca*KO mice,³⁵ we
 14 found that the loss of α Syn had no effects on basal synaptic transmission, including mEPSC (**Fig.**
 15 **3H**) and PPF (**Fig. 3I**). Similar to *Gba1*^{L444P/+} littermates, *Gba1*^{L444P/+}; *Snca*KO mice showed a
 16 reduction in mEPSC frequency (**Fig. 3H**) but no changes in PPF (**Fig. 3I**). Thus, the effects of
 17 mutant GCase on hippocampal synaptic and cognitive impairment at young ages are not associated
 18 with α Syn accumulation.

19 **Age-dependent manifestation of lipid changes in *Gba1*^{L444P/+} mice**

20 A prevailing hypothesis for GBA-PD is that the loss of GCase enzyme activity alters lipid
 21 composition, resulting in α Syn pathology.^{15,16} To test this loss of function hypothesis *in vivo*, we
 22 measured GCase enzyme activity and the levels of GCase lipid substrates glucosylceramide
 23 (GluCer), as well as the deacetylated form of GluCer, glucosylsphingosine (GluSph). Despite a
 24 reduction in GCase enzyme activity (**Fig. 4 A, B**), *Gba1*^{L444P/+} mice showed no evidence for
 25 hippocampal GluCer accumulation at 2.5 and 7 months of age. Levels of hippocampal GluSph
 26 remained unaltered at 2.5 months but were increased at 7 months (**Fig. 4 C, D**). Our data are
 27 consistent with Mahoney-Crane et al³² findings in the forebrain of *Gba1*^{L444P/+} mice, confirming a
 28 lack of GCase substrate accumulation at the age when cognitive deficits began to manifest.

1 Lipidomic analysis of other lipid classes, including cholesterol, phospholipids,
2 lysophospholipids, sphingolipids and gangliosides, showed no changes in *Gba1*^{L444P/+} mice at 2.5
3 months (**Fig. 4 E**). These data suggest that synaptic and cognitive impairment in *Gba1*^{L444P/+} mice
4 may emerge at 2.5 months in the absence of gross lipid alterations.

5 *Gba1*^{L444P/+} mice, however, presented profound lipid changes at 7 months of age (**Fig. 4 F**),
6 including an increase in sterols and glycerol lipid classes, e.g., free cholesterol (FC), cholesterol
7 esters (CE) and diacylglycerol (DAG), and a reduction in the most abundant *phospholipids*,
8 including phosphopatic acids (PA), phosphatidylethanolamine (PE), plasmalogen phosphatidyl-
9 ethanolamine (PEp), phosphatidylserine (PS) and phosphatidylinositol (PI). Consistently, the
10 levels of lyso-forms of phospholipids (lysophospholipids), including lysophosphatidylcholine
11 (LPC) and lysophosphatidylserine (LPS), and the endo-lysosomal phospholipid
12 bis(monoacylglycero)phosphate (BMP), a metabolite downstream of Lysophosphatidylglycerol
13 (LPG), were downregulated in *Gba1*^{L444P/+} mice. The mutants also exhibited an increase in the
14 ganglioside GM3 and a reduction in the sphingolipid ceramide.

15 **L444P/+ *Gba1* mutation exacerbates synaptic and cognitive** 16 **impairment in Thy1- α Syn mice at 2.5 months**

17 To further assess the relevance of L444/+ *GBA1* mutation in Parkinson's disease -related cognitive
18 and motor impairment, we used a well-characterized transgenic mouse model that overexpresses
19 WT human α Syn under the murine Thy1 promoter (Thy1- α Syn, line 61). This model exhibits
20 several motor and nonmotor features of idiopathic Parkinson's disease with age.^{36,37} Notably, the
21 mice develop α Syn aggregates in both nigrostriatal and neocortical-limbic systems at young ages,
22 but undergo a progressive loss of striatal dopamine and dopaminergic synaptic terminals until after
23 14 months.^{36,37} The modest overexpression of human α Syn does not suppress brain GCase enzyme
24 activity,²⁶ providing a means to investigate the effects of both *GBA1* mutation and pre-existing
25 α Syn pathology on Parkinson's symptom.^{38,39}

26 By crossing *Gba1*^{L444P/+} and Thy1- α Syn mice, we generated *Gba1*^{L444P/+}:Thy1- α Syn double mutant
27 mice. To monitor the progress of disease phenotypes, we assessed cognitive and motor functions
28 in control (CTRL), *Gba1*^{L444P/+}, Thy1- α Syn and the double mutant littermates at ages of 2.5 and 7
29 months. Because the Thy1- α Syn transgene is located in the X chromosome, which may cause

1 random inactivation in somatic cells in female mice, ³⁶ we only included male mice for this
2 experiment.

3 At 2.5 months, both *Gba1*^{L444P/+} and Thy1- α Syn mice showed similar levels of impairments in
4 contextual (**Fig. 5A**) and MWM spatial reference memory (**Fig. 5C**), with no signs of motor
5 deficits (**Fig. 5D, E**), except that the Thy1- α Syn mice presented a slower MWM learning process
6 relative to the *Gba1*^{L444P/+} littermates (**Fig. 5B**). Compared to Thy1- α Syn littermates, the double
7 mutants displayed a further decline in contextual memory (**Fig. 5A**), MWM spatial learning (**Fig.**
8 **5B**) and memory (**Fig. 5C**), but no changes in motor function (**Fig. 5D, E**).

9 Consistently, both *Gba1*^{L444P/+} and Thy1- α Syn mice showed impaired hippocampal LTP, whereas
10 the double mutants presented more exacerbated LTP impairment than both single mutant lines
11 (**Fig. 5F**). At synapse level, *Gba1*^{L444P/+} littermates continued to show reduced mEPSC frequency
12 (**Fig. 5G**) with no changes in presynaptic release (**Fig. 5H**). Thy1- α Syn littermates, however,
13 presented a reduction in mEPSC frequency (**Fig. 5G**) that was associated with an increase in pair
14 pulse ratio (PPR) (**Fig. 5H**), which corroborates previously reported presynaptic inhibition by
15 α Syn overexpression. ^{40, 41} Compared to Thy1- α Syn littermates, the double mutants showed a
16 further reduction in mEPSC frequency (**Fig. 5G**) but no additional changes in pair pulse ratio (**Fig.**
17 **5H**). All three mutant lines showed similar evoked NMDA- and AMPA- receptor responses
18 relative to CTRL littermates (**Fig. 5 I**), suggesting that both L444P/+ mutation and α Syn
19 overexpression have subtle postsynaptic effects at 2.5 months. Together, our results suggest
20 different synaptic mechanisms of *GBA1* mutation and α Syn overexpression: while α Syn
21 overexpression affects presynaptic neurotransmitter release, ^{40, 41} L444P/+ mutation inhibits
22 synaptic transmission by reducing the number of synapses.

23 We confirmed this difference by Western blot analysis of pre- and postsynaptic proteins synapsin
24 1 (SYN1) and PSD95 (**Fig. 6A**): *Gba1*^{L444P/+} mice showed a reduction in both SYN1 and PSD95,
25 whereas Thy1- α Syn mice only exhibited a decrease in SYN1 but not PSD95 levels. It is likely
26 that an additive effect may exist between L444P/+ mutation and co-existing α Syn overexpression,
27 resulting in more reduced mEPSC frequency in the double mutants at this young age.

28 Using Western blot (**Fig. 6A**) and immunohistochemistry (**Fig. 6B**), we examined protein levels
29 of total α Syn and Ser129 phosphorylated α Syn (p- α Syn), a species that is abundant in α Syn lesions
30 in diseased human brain ⁴² and in transgenic mice overexpressing WT mouse or human α Syn, ^{26, 43}

1 Compared to CTRL littermates, *Gba1*^{L444P/+} mice showed higher levels of total α Syn but no
2 obvious changes in p- α Syn, whereas Thy1- α Syn mice displayed a significant increase in both total
3 α Syn and p- α Syn. The double mutants showed a further accumulation of both total α Syn and p-
4 α Syn compared to the Thy1- α Syn littermates (**Fig. 6A, B**).

5 Consistent with Rockenstein et al findings,²⁶ we observed hippocampal α Syn puncta in Thy1-
6 α Syn mice. These puncta were positive for synaptic marker synaptophysin (SYP), supporting a
7 synaptic accumulation of α Syn (**Fig. 6C**). Compared to Thy1- α Syn littermates, the double
8 mutants showed more hippocampal α Syn puncta (**Fig. 6D**), suggesting that the L444P/+
9 mutation exacerbates synaptic α Syn accumulation, an effect that was confirmed by Western blot
10 analysis of α Syn and p- α Syn in synaptosomes purified from Thy1- α Syn mice and the double
11 mutants (**Fig. 6E, F, G, H**). Again, *Gba1*^{L444P/+} mice showed a slight accumulation of α Syn but
12 not p- α Syn. Compared to Thy1- α Syn littermates, the double mutants exhibited a further
13 accumulation of synaptosomal α Syn and p- α Syn (**Fig. 6G, H**). Of note, at this young age, GCase
14 enzyme activity was decreased by ~40% in both *Gba1*^{L444P/+} mice and the double mutants (**Fig. 6**
15 **D**), but the levels of GCase substrates, GluCer and GluSph, remained unaltered in all mutant mice
16 (**Fig. 6J**).

17 **L444P/+ *Gba1* mutation exacerbates motor symptoms and α Syn** 18 **pathology in Thy1- α Syn mice at 7 months**

19 At 7 months, Thy1- α Syn mice showed more severe cognitive impairment accompanied by
20 compromised motor function (**Fig. 7 A-E**). Compared to Thy1- α Syn littermates, the double
21 mutants presented similar levels of impairments in contextual memory (**Fig. 7A**), MWM spatial
22 learning and memory (**Fig. 7B, C**), but more severe rotarod motor deficits (**Fig. 7E**), suggesting
23 that the L444P/+ mutation may augment motor symptoms induced by α Syn overexpression. At the
24 synapse level, both Thy1- α Syn mice and the double mutants showed more compromised LTP than
25 *Gba1*^{L444P/+} littermates (**Fig. 7F**), but the presence of L444P/+ mutation failed to further exacerbate
26 LTP deficits in the double mutants.

27 It could be that there is a ceiling effect of α Syn pathology and synaptic deficits so that the presence
28 of L444P/+ mutation no longer intensifies cognitive impairment in the double mutants at 7 months
29 of age. Compared to Thy1- α Syn littermates, the double mutants showed similar levels of

1 hippocampal total α Syn but displayed higher levels of p- α Syn and a further reduction in levels of
2 GCCase and synaptic proteins (PSD95, SYN1) (**Fig. 7G**). The greater increase in p- α Syn levels in
3 the double mutants was consistent with a further accumulation of Triton X-100 insoluble α Syn
4 oligomers (**Supplementary Fig. 3**). At this older age, GCCase enzyme activity was reduced by ~55%
5 in *Gba1*^{L444P/+} mice and ~62% in the double mutants (**Fig. 7H**), and both *Gba1*^{L444P/+} and double
6 mutant mice showed an accumulation of GluSph (**Fig. 7I**).

7 **L444P/+ *Gba1* mutation causes cognitive impairment independently** 8 **of dopaminergic dysfunction**

9 Lastly, we asked whether *GBA1* L444P/+ mutation contributes to cognitive impairment by
10 disrupting dopaminergic function. We restricted our analysis at 2.5 months of age when
11 cognitive impairment developed in both *Gba1*^{L444P/+} and Thy1- α Syn mice in the absence of motor
12 signs. Using reverse phase HPLC, we did not find changes in extracellular DA and DOPAC
13 concentration in different brain regions of *Gba1*^{L444P/+} mice, including hippocampus, prefrontal
14 cortex, striatum and midbrain (**Fig. 8A**). Neither did Thy1- α Syn mice or the double mutants show
15 changes in DA and DOPAC levels (**Fig. 8A**). Our data are consistent with Yun et al ²¹ study in
16 *Gba1*^{L444P/+} mice or Chesselet et al ³⁶ work in young Thy1- α Syn mice, both showed a lack of loss
17 of SNpc DA neurons or striatal TH positive fiber density at young ages.

18 By crossing *Gba1*^{flox/flox} mice to the DAT promoter driven Cre (DAT-Cre) mice, we generated a
19 dopaminergic neuronal specific *Gba1* conditional knockout (CKO) mouse model. We found that
20 both cognitive and motor functions were well preserved in either *Gba1*^{flox/+}:DAT-Cre heterozygous
21 (*Gba1*Het) or *Gba1*^{flox/flox}:DAT-Cre+ homozygous (*Gba1*CKO) CKO mice (**Fig. 8 B-H**). Our data
22 corroborate Soria et al ⁴³ findings of normal motor performance and persevered dopaminergic
23 neurons in *Gba1*CKO mice, suggesting that loss of *Gba1* in mouse dopaminergic neurons is not
24 critical for the initiation of cognitive impairment at young ages.

25

26 **Discussion**

27 Cognitive impairment is a common and disabling non-motor aspect of Parkinson's disease,
28 negatively impacting patients' functional capacity and quality of life. The goal of this study is to

1 understand the pathogenic mechanisms of cognitive impairment associated with *GBA1* mutations,
2 the strongest genetic risk factor for Parkinson's disease. We provide evidence for impaired
3 hippocampus-dependent short-term working memory, long-term spatial memory and recognition
4 memory in *Gba1*^{L444P/+} mice. Our findings corroborate recent clinical observations of memory
5 deficits in *GBA1* carriers without Parkinson's disease,^{45,46,47} suggesting a potential mechanism of
6 L444P/+ *GBA1* mutation in hippocampal pathology that is a clear candidate for cognitive
7 impairment.

8 The hippocampus is a key structure for the formation of spatial and episodic memories.⁴⁸ Both
9 structural and functional abnormalities of hippocampus have been reported in Parkinson's disease,
10 and positively correlate with deficits in learning and long-term recall.^{49,50,51} Consistently, we find
11 a reduction in dendritic complexity, synapse loss together with Parkinson's disease-related
12 molecular changes²⁰ in the hippocampus of *Gba1*^{L444P/+} mice. The mice do not show motor deficits
13 or changes in DA levels, suggesting that cognitive impairment associated with L444P *GBA1*
14 mutation may occur independently of, or prior to, dopaminergic dysfunction.

15 We unexpectedly find that downregulating α Syn was unable to mitigate the effects of *GBA1*
16 mutation on synaptic and cognitive function, suggesting that *GBA1* mutation may trigger cognitive
17 deficits independently of α Syn accumulation. It is likely that in *Gba1*^{L444P/+} mice, elevated levels
18 of endogenous α Syn have not reached a threshold level to accumulate α Syn species that mediate
19 early synapse pathology in Parkinson's disease, including oligomeric and aggregated α Syn.²⁶
20 Supporting this hypothesis, we find that *Gba1*^{L444P/+} mice do not accumulate p- α Syn (**Fig. 7G**) and
21 α Syn oligomers (**Supplementary Fig. 3**), consistent with previous reports that these mice are
22 unable to develop proteinase K-resistant α Syn aggregates.^{21,23}

23 *GBA1*-PD research over the past decade has focused on the loss of GCCase enzyme activity and the
24 resulting lipid substrate accumulation as a mechanism for the development of symptoms of
25 Parkinson's disease. We however find no changes in GCCase lipid substrates or any other lipid
26 classes in *Gba1*^{L444P/+} mice at 2.5 months when cognitive deficits manifest. It is possible that the
27 mutation-associated reduction in GCCase enzyme activity (~40% at 2.5 months) is not sufficient to
28 cause lipid substrate accumulation. Nevertheless, a lack of GCCase substrate accumulation has been
29 reported in brains of Parkinson's disease patients with or without *GBA1* mutation,⁵² and in
30 D409V/+, L444P/+ and N370S/+ *Gba1* knockin or *Gba1*^{+/-} hemizygous knockout mice.^{16,28}

1 Moreover, *Gba1* haploinsufficiency was found unable to cause cognitive impairment, ^{28, 53, 54} and
2 a recent MOVES-PD clinical trial failed to support the GCCase substrate accumulation hypothesis.
3 ⁵⁵

4 This leaves an important question open, i.e., how Parkinson's disease-associated heterozygous
5 *GBA1* mutations, e.g., L444P and N370S, result in cognitive impairment in the absence of gross
6 lipid substrate accumulation and α Syn aggregation. *GBA1* mutations can produce ER stress and
7 autophagy-lysosome dysfunction, both can impair protein homeostasis in dopamine neurons,
8 leading to their vulnerability in Parkinson's disease. ^{56, 57} Additional mechanisms may involve
9 mitochondrial dysfunction due to impaired mitochondrial autophagy ²⁰ or a recently identified
10 interaction between mutant GCCase and mitochondrial complex I. ⁵⁸ These alternative mechanisms
11 highlight the early pathogenic relevance of *GBA1* mutation in synapse degeneration, and may
12 explain the higher risk for *GBA1*-mutation carriers to develop cognitive impairment.

13 At 7 months, *Gba1*^{L444P/+} mice showed a further reduction (~55%) in GCCase enzyme activity that
14 was accompanied by an accumulation of GluSph, a reduction in phospholipids and ceramide (Cer),
15 an accumulation of cholesterol and the ganglioside GM3. These lipid changes are consistent with
16 previous reports in postmortem brains (e.g., reduced PE, BMP and Cer, and elevated GM3), CSF
17 (e.g., elevated GM3) or blood samples (e.g., reduced PE and elevated GM3) from patients with
18 Parkinson's disease, ^{29, 59-66} and are found to disrupt synaptic transmission and plasticity that
19 underlie cognitive performance ⁶⁰ or facilitate α Syn aggregation. ^{67, 68} Consistently, we find that
20 compared to Thy1- α Syn mice, the double mutants present a further increase in p- α Syn and Triton
21 X-100 insoluble α Syn oligomers at 7 months of age. It is likely that the age-dependent decline in
22 GCCase function and lipid changes may exacerbate synaptic and cognitive decline in *GBA1*-PD,
23 either by facilitating disease-associated α Syn oligomerization or by further disrupting synaptic
24 structures.

25 Given that heterozygous *GBA1* mutations do not always result in Parkinson's disease but simply
26 raise the relative risk for the disease, and that *GBA1* mutations only present in 5-20% of patients,
27 ^{5, 6, 7} a concern remains as to whether cognitive phenotypes in *Gba1*^{L444P/+} mice are relevant to
28 Parkinson's disease. Our findings suggest that L444P/+ mutation per se may confer a subclinical
29 disease burden for cognitive impairment among non-manifesting carriers, and accelerate
30 cognitive decline in patients with Parkinson's disease. *GBA1* mutation carriers will have to be

1 exposed to additional Parkinson's risk factors, e.g., genetic mutations, aging or oxidative
2 damages, and *GBA1* mutation interacts with these risk factors to trigger Parkinson's disease-
3 related motor symptoms. Without a second insult, pathological changes in *GBA1* carriers may
4 not exceed a threshold to induce Parkinsonism. Supporting this hypothesis, we find that
5 heterozygous L444P/+ mutation exacerbates synaptic and cognitive impairment in young Thy1-
6 α Syn mice, and precipitates motor symptoms at an older age.

7 In summary, our study provides first evidence for synaptic and cognitive impairments associated
8 with heterozygous L444P *GBA1* mutation that are independent of the accumulation of α Syn and
9 GCCase lipid substrates. *Gba1*^{L444P/+} mice may represent a model of mild cognitive impairment that
10 features variable impairments in visual-spatial memory capacities without Parkinsonian motor
11 deficits. In contrast, the *Gba1*^{L444P/+}:Thy1- α Syn double mutant mice with pre-existing α Syn
12 accumulation can better reflect clinical representation of Parkinson's disease, showing mild
13 cognitive impairment in advance of parkinsonian motor signs. Using this double mutant model,
14 we show evidence that heterozygous *Gba1* mutation exacerbates synaptic and cognitive
15 impairment in young animals by interacting with co-existing α Syn accumulation, largely through
16 an additive effect of *Gba1* mutation mediated synapse loss and α Syn-mediated presynaptic
17 inhibition. The added impact of aging further reduces hippocampal GCCase enzyme activity,
18 leading to a late-age manifestation of lipid changes at 7 months. It is likely that, with age, an
19 expected detrimental association between lipid changes (e.g., elevated GluSph and GM3) and
20 α Syn aggregation may promote spreading of α Syn pathology *in vivo*, leading to increased
21 susceptibility of dopaminergic neuronal loss and accelerated cognitive decline and motor
22 symptoms. Further studies are needed to test these possibilities, and to uncover the implication of
23 *GBA1* mutation in other pathological features of Parkinson's disease, e.g., neuroinflammation.
24 Additionally, various *GBA1* mutations may reduce GCCase enzyme activity to a different extent,
25 and various brain cells may respond to *GBA1* mutation differently.⁶⁹ Thus, future research will be
26 necessary to assess the mutation- or cell type specific impact of *GBA1* mutations on Parkinson's
27 disease -related features.

28

1 **Data availability**

2 Source data are provided in Supplementary tables, and will be available from the corresponding
3 author upon request.

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6 G.T. and O.A. designed the project. A.H., H. L. and G.T. conducted mouse breeding, genotyping
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16 **Competing interests**

17 The authors report no competing interests.

19 **Supplementary material**

20 Supplementary material is available at *Brain* online.

21

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4

5 **Figure Legends**

6 **Figure 1 Cognitive and motor performance in 7-month-old *Gba1*^{L444P/+} mice.** (A) Contextual
 7 (Left) and Cued (Right) fear conditioning test. *Gba1*^{L444P/+} (L444P, n=18) and WT control (CTRL,
 8 n=18) littermates show no differences in baseline (basal) freezing activities. During the contextual
 9 memory test, L444P mice show reduced freezing responses relative to their CTRL littermates.
 10 During the cued memory test, L444P and CTRL littermates spend similar time freezing; (B) L444P
 11 (n=12) and CTRL (n=12) littermates show no between-group difference in sensory perception of
 12 average foot shock intensities, at which the mice begin to show (1) first visible movement
 13 (e.g., flinching), (2) first gross motor movement (running or jumping), or (3) first audible
 14 response; (C) 2-day radial arm water maze (RAWM) working memory. Average number of
 15 errors committed during each three-trial training block of RAWM task is plotted. Compared
 16 to CTRL (n=19), L444P (n=16) mice show impaired working memory during test sessions on day
 17 2; (D, E) Morris water maze (MMW) spatial learning (D) and probe test for reference memory (E)
 18 in CTRL (n=19) and L444P mice (n=18). The target quadrant #4 is indicated by the red square;
 19 (F, G) The average escape latency (F) and swimming speed (G) during a visible platform water
 20 maze task. CTRL (n=15) and L444P (n=15) mice show similar time to reach the visible platform
 21 and similar velocity of swimming; (H) Novel object recognition memory. Compared to CTRL
 22 (n=17), L444P mice (n=18) spend less time sniffing the novel object, with a reduced discrimination
 23 index for novel object preference (0.69 ± 0.01 in CTRL v.s. 0.52 ± 0.01 in L444P mice); (I)
 24 Accelerating rotarod performance. Compared to CTRL (n=20), L444P mice (n=20) show no
 25 difference in average latency to fall from the rotarod during each session; (J) Balance beam
 26 performance. There are no differences between CTRL (n=18) and L444P mice (n=18) in time to
 27 transverse the beam; (K) Open field performance. CTRL (n=16) and L444P (n=16) littermates
 28 show no differences in the percentage of time spent in the center compartment, average total
 29 distance traveled, and number of rearing events (vertical counts). For all tests, similar number

1 of male and female mice are used. All data are mean \pm SEM. *, $p < 0.05$; **, $p < 0.01$; ***, $p < 0.001$.
2 two-tailed unpaired t test (**G, J, K**) or two-way ANOVA followed by the Fisher's Least Significant
3 Difference (LSD) test (**A, B, C, D, E, F, H** and **I**). Source data are provided in **Supplementary**
4 **Table 1**.

5
6 **Figure 2 Synapse pathology in *Gba1*^{L444P/+} mice at 2.5 months of age.** (**A**) Theta burst
7 stimulation (TBS) evoked LTP in control (CTRL) and *Gba1*^{L444P/+} (L444P) mice. For each
8 genotype, $n = 7$ slices from 6 animals, 3 males and 3 females. Data are mean \pm SEM. **, $p < 0.01$,
9 two-tailed unpaired t test; (**B**) Representative current traces of mEPSCs (upper) and the
10 quantification of mEPSC frequency and amplitude (lower). Compared to CTRL, L444P mice show
11 reduced mEPSC frequency. For each genotype, $n = 14-15$ neurons from 3 males and 3 females.
12 Data are mean \pm SEM. **, $p < 0.01$, two-tailed unpaired t test; (**C**) EPSC responses (mean \pm SEM)
13 in CA1 neurons to paired pulse stimulation of Schaffer collaterals with a 50 ms interstimulus
14 interval (ISI). L444P mice do not show changes in paired-pulse ratio. Two-tailed Mann-Whitney
15 test. $n = 14$ (CTRL) or 11 (L444P) neurons from 2 males and 3 females; (**D**) DiOlistic labeling of
16 mouse hippocampus. Blue: DAPI; Yellow: DiI. On the right shows CA1 pyramidal neurons from
17 both genotypes. Compared to CTRL, L444P mice show a reduction in the number of primary basal
18 dendrites. 20 neurons from 3-4 mice per genotype. Scale: 200 μm (Left) and 20 μm (Right). Data
19 are mean \pm SEM. *, $p < 0.05$, two-tailed Mann-Whitney test; (**E**) Apical and basal dendritic
20 segments from CA1 pyramidal neurons of CTRL and L444P mice. Mature spines were defined as
21 dendritic protrusions with the ratio of head/neck diameter > 1.1 (see methods). Three dendritic
22 segments per neuron, 20 neurons from 4 mice per condition. Compared to CTRL, L444P mice
23 show a reduction in mature spines in both apical and basal dendrites. Scale: 5 μm . Data are mean
24 \pm SEM. ***, $p < 0.001$, two-tailed unpaired t test; (**F**) Western blot analysis of αSyn , GCase, NeuN,
25 presynaptic proteins synapsin I (SYN1) and synaptophysin (SYP), and postsynaptic protein PSD95
26 in the hippocampus of CTRL ($n = 8$) and L444P ($n = 8$) mice. Protein levels are normalized to actin
27 and expressed as % of the mean of CTRL (CTRL mean). Data are mean \pm SEM. ***, $p < 0.001$,
28 two-tailed unpaired t test. Source data are provided in **Supplementary Table 2**.

29

1 **Figure 3 Downregulating α Syn levels does not mitigate cognitive impairment associated with**
2 ***GBA1* mutation.** (A) Western blot analysis of α Syn from CTRL, *Gba1*^{L444P/+} (L444P), *Snca*^{+/-},
3 *Snca*KO, L444P: *Snca*^{+/-} and L444P:*Snca*KO mice at 2.5 months. n= 4 mice per genotype. Protein
4 levels are normalized to actin and expressed as % of CTRL mean. Data are mean \pm SEM. ***,
5 $p < 0.001$; ns, non-significant. One-way ANOVA followed by Tukey's multiple comparison test;
6 (B-G) Cognitive and motor functions in CTRL, L444P, *Snca*^{+/-}, *Snca*KO, L444P:*Snca*^{+/-} and
7 L444P:*Snca*KO mice at 2.5 months of age. (B, C) Contextual (B) and cued (C) feared memory. n
8 = 10-11 animals per genotype, with approximately equal numbers of males and females. There are
9 no differences in contextual and cued fear memories between CTRL, *Snca*^{+/-} and *Snca*KO mice.
10 The presence of L444P/+ mutation impairs contextual memory in L444P, L444P:*Snca*^{+/-} and
11 L444P:*Snca*KO mice. Data are mean \pm SEM. **, $p < 0.01$; ns, non-significant. Two-way ANOVA
12 followed by Tukey's multiple comparison test; (D, E) Morris water maze (MMW) spatial learning
13 (D) and spatial memory (E). n = 10-11 animals per genotype, with approximately equal numbers
14 of males and females. *Snca*^{+/-} and *Snca*KO mice show normal MMW spatial learning and
15 memory, whereas the presence of L444P mutation impairs spatial memory in L444P,
16 L444P:*Snca*^{+/-} and L444P:*Snca*KO mice. Data are mean \pm SEM. **, $p < 0.01$; ns, non-significant.
17 Two-way ANOVA followed by Tukey's multiple comparison test; (F) Accelerating rotarod
18 performance (mean \pm SEM) showing no between-group differences. n = 10-14 animals per
19 genotype, with approximately equal numbers of males and females. Two-way ANOVA followed
20 by Tukey's multiple comparison test; (G) Open field behaviors showing no between-group
21 differences. N=10-14 animals per genotype, with approximately equal numbers of males and
22 females. Data are mean \pm SEM, One-way ANOVA; (H) mEPSC frequency and amplitude in
23 CTRL, L444P, *Snca*KO and L444P:*Snca*KO mice at 2.5 months of age. Compared to CTRL,
24 *Snca*KO mice show no changes in mEPSC frequency or amplitude, whereas L444P and
25 L444P:*Snca*KO mice show reduced mEPSC frequency. For each genotype, n = 10-12 neurons
26 from 5-6 mice. Data are mean \pm SEM. *, $p < 0.05$; **, $p < 0.01$; ***, $p < 0.001$. One-way ANOVA
27 followed by Tukey's multiple comparison test; (I) Paired pulse facilitation of EPSCs in
28 hippocampal CA3-CA1 synapses in CTRL, L444P, *Snca*KO and L444P:*Snca*KO mice at 2.5
29 months of age. For each genotype, n=13-17 neurons from 5-7 mice. There are no between-group
30 differences in paired pulse ratio (PPR, mean \pm SEM), one-way ANOVA followed by Tukey's
31 multiple comparison test. Source data are provided in **Supplementary Table 3.**

1
 2 **Figure 4 Altered lipid profile in the *Gba1*^{L444P/+} mouse hippocampus.** (A, B) Reduced GCase
 3 enzyme activity in *Gba1*^{L444P/+} (L444P) hippocampus at 2.5 (A) and 7 (B) months of age. Data are
 4 mean ± SEM, n=4 mice per genotype. **, p<0.01; ***, p<0.001. Two-tailed unpaired t test; (C,
 5 D) GCase substrates GluCer and GluSph in L444P hippocampus at 2.5 (C) and 7 (D) months of
 6 age. For each condition, n = 4 mice. Data are mean ± SEM. *, p<0.05, two-tailed unpaired t test;
 7 (E, F) Lipidomic analysis in CTRL and L444P mouse hippocampus at 2.5 (E) and 7 (F) months
 8 of age. For each condition, n = 3 mice. At 7 months, L444P mice show an increase in GM3 and
 9 CE, but a decrease in phospholipid classes including PA, PE, PS, PI, BMP, LPC and LPS, and
 10 sphingolipid species Ceramide (Cer), Sphingomyelin (SM). Data are mean ± SEM. *, p<0.05; **,
 11 p<0.01; ***, p<0.001. Two-tailed unpaired t test. Source data are provided in **Supplementary**
 12 **Table 4.**

13
 14 **Figure 5 L444P/+ *Gba1* mutation exacerbates cognitive and synaptic impairment associated**
 15 **with αSyn accumulation at 2.5 months of age.** (A-E) Behavioral characterization of *Gba1*^{L444P/+}
 16 (L444P), Thy1-αSyn (ASO) and *Gba1*^{L444P/+};Thy1-αSyn (L444P:ASO) double mutant mice at 2.5
 17 months. (A) Contextual (Left) and cued fear memory (Right). n = 13-22 animals per genotype; (B-
 18 C) MWM spatial learning (B) and memory (C). n = 14-16 animals per genotype; (D) Accelerating
 19 rotarod performance. n = 10-13 animals per genotype; (E) Open field performance. n = 10-19
 20 animals per genotype. Data are mean ± SEM. *, p<0.05; **, p<0.01; ***, p<0.001. One-way
 21 ANOVA followed by Tukey's multiple comparison test; (F) Theta-burst induced hippocampal
 22 CA3-CA1 LTP in CTRL, L444P, ASO and L444P:ASO mice at 2.5 months. n=10-12 slices per
 23 genotype. Data are mean ± SEM. **, p<0.01; ***, p<0.001; ns, non-significant. One-way ANOVA
 24 followed by Tukey's multiple comparison test; (G) Frequency and amplitude of mEPSC in
 25 hippocampal CA1 neurons from CTRL, L444P, ASO and L444P:ASO mice at 2.5 months. Both
 26 L444P and ASO mice show reduced frequency but no changes in amplitude, and the double mutant
 27 mice display a further reduction in mEPSC frequency. n= 10-13 neurons per genotype. Data are
 28 mean ± SEM. *, p<0.05; ***, p<0.001; ns, non-significant. One-way ANOVA followed by
 29 Tukey's multiple comparison test; (H) Paired pulse ratio (PPR) in hippocampal CA3-CA1
 30 synapses of CTRL, L444P, ASO and L444P:ASO mice at 2.5 months. Compared to CTRL, ASO

1 and L444P:ASO mice show an increase in PPR. n= 11-22 neurons per genotype. Data are mean \pm
2 SEM. *, p<0.05; **, p<0.01. One-way ANOVA followed by Tukey's multiple comparison test;
3 (I) NMDAR- and AMPAR components of evoked EPSCs in CA1 pyramidal neurons. n=10-14
4 neurons per genotype. Data are mean \pm SEM. One-way ANOVA followed by Tukey's multiple
5 comparison test. Source data are provided in **Supplementary Table 5**.

6
7 **Figure 6 L444P/+ *Gba1* mutation exacerbates synaptic α Syn accumulation in Thy1- α Syn**
8 **mice at 2.5 months of age. (A)** Western blot analysis of GCase, α Syn, p- α Syn and synaptic
9 proteins (SYN1, PSD95) in the hippocampus of control (CTRL), *Gba1*^{L444P/+} (L444P), Thy1- α Syn
10 (ASO) and *Gba1*^{L444P/+}:Thy1- α Syn (L444P:ASO) double mutant mice at 2.5 months of age.
11 Protein levels are normalized to actin and expressed as % of CTRL mean. Data are mean \pm SEM.
12 **, p<0.01; ***, p<0.001. One-way ANOVA followed by Tukey's multiple comparison test. n=4
13 mice per genotype; (B) Immunohistochemistry of α Syn and Ser129 p- α Syn in the hippocampus
14 of CTRL, L444P, ASO, L444P:ASO mice at 2.5 months of age. Micrographs are representative
15 of three independent experiments. Scale: 200 μ m. As in Rockenstein et al (2014), ²⁶ α Syn and p-
16 α Syn accumulate in both neuronal cell body and neuropil in ASO mice. L444P:ASO mice show
17 highest levels of α Syn and p- α Syn among all four mouse lines; (C) Co-immunostaining of α Syn
18 and presynaptic marker synaptophysin (SYP) in the hippocampus of Thy1- α Syn (ASO) mice.
19 Scale: 10 μ m. Blue, DAPI stain for nucleus; (D) Levels of CA1 Striatum radiatum α Syn in CTRL,
20 L444P, ASO and L444P:ASO mice at 2.5 months of age. Scale: 10 μ m. *Snca*KO mice are used as
21 negative controls. Micrographs are representative of three independent experiments; (E)
22 Synaptosome fractionation from CTRL mice. Total hippocampal lysates, nucleus, cytosolic and
23 synaptosome (SPM) fractions were subjected to Western blot analysis for presynaptic protein
24 synapsin 1 (SYN1), postsynaptic proteins PSD95 and GluR1, nuclear protein marker Lamin B and
25 cytosolic protein marker GAPDH. The SPM fraction is enriched for synaptic proteins SYN1,
26 PSD95 and GluR1 but absent for Lamin B and GAPDH; (F) Western blot analysis of α Syn and p-
27 α Syn in synaptosomes (SPM) fractionated from CTRL, L444P, ASO and L444P:ASO mice at 2.5
28 months of age. SPM fraction from *Snca*KO mice is used as a negative control; (G-H)
29 Quantification of synaptosomal α Syn (G) and p- α Syn (H) in CTRL, L444P, ASO and L444P:ASO
30 mice. For each genotype, n=3 animals. Protein levels are normalized to PSD95 and expressed as
31 % of CTRL mean in (G) and % of ASO mean in (H). Compared to CTRL, L444P mice show

1 increased synaptic levels of α Syn but not p- α Syn. Both ASO and L444P:ASO mice have higher
2 levels of synaptic α Syn and p- α Syn than CTRL and L444P mice. When compared to ASO
3 littermates, L444P:ASO mice present a further accumulation of synaptic α Syn and p- α Syn. Data
4 are mean \pm SEM. *, $p<0.05$; **, $p<0.01$; ***, $p<0.001$; ns, non-significant. One-way ANOVA
5 followed by Tukey's multiple comparison test; **(I, J)** GCcase enzyme activity **(I)** and levels of
6 GCcase substrates GluCer and GluSph **(J)** in CTRL, L444P, ASO and L444P:ASO mice at 2.5
7 months of age. $n=4$ mice per genotype. Data are mean \pm SEM. **, $p<0.01$; ***, $p<0.001$. One-
8 way ANOVA followed by Tukey's multiple comparison test. Source data are provided in
9 **Supplementary Table 6.**

10
11 **Figure 7 L444P/+ *Gba1* mutation exacerbates synaptic and motor impairment associated**
12 **with α Syn accumulation at 7 months of age. (A-E)** Behavioral characterization of Control
13 (CTRL), *Gba1*^{L444P/+} (L444P), Thy1- α Syn (ASO) and *Gba1*^{L444P/+}:Thy1- α Syn double mutant
14 (L444P:ASO) mice at 7 months of age. **(A)** Contextual (Left) and cued fear memory (Right); **(B)**
15 MWM spatial learning; **(C)** MWM spatial memory; **(D)** Open field performance; **(E)** Accelerating
16 rotarod performance. CTRL, $n=10-19$; L444P, $n=10-19$; ASO, $n=10-12$; L444P:ASO double
17 mutants, $n = 10-13$. Data are mean \pm SEM. *, $p<0.05$; **, $p<0.01$; ***, $p<0.001$; ns, non-
18 significant. One-way ANOVA followed by Tukey's multiple comparison test; **(F)** Theta-burst
19 induced LTP in four mouse lines at 7 months of age. $n=10$ slices per genotype from 5-7 mice. Data
20 are mean \pm SEM. *, $p<0.05$; **, $p<0.01$; ns, non-significant. One-way ANOVA followed by
21 Tukey's multiple comparison test; **(G)** Western blot analysis of GCcase, α Syn, p- α Syn, NeuN, and
22 synaptic proteins (SYN1, PSD95) in the hippocampus of CTRL, L444P, ASO and L444P:ASO
23 mice at 7 months of age. $n=4$ mice per genotype. Data are mean \pm SEM. *, $p<0.05$; **, $p<0.01$;
24 ***, $p<0.001$; ns, non-significant. One-way ANOVA followed by Tukey's multiple comparison
25 test; **(H, I)** GCcase enzyme activity **(H)** and levels of GCcase substrates GluCer and GluSph **(I)** in
26 CTRL, L444P, ASO and L444P:ASO mice at 7 months of age. $n=4$ mice per genotype. Data are
27 mean \pm SEM. **, $p<0.01$; ***, $p<0.001$. One-way ANOVA followed by Tukey's multiple
28 comparison test. Source data are provided in **Supplementary Table 7.**

29

1 **Figure 8 Cognitive function in dopaminergic neuronal specific *Gba1* deficient mice at 2.5**
2 **month of age. (A)** Levels of dopamine (DA) and its metabolite dihydroxyphenylacetic acid
3 (DOPAC) in different brain regions (striatum, midbrain, hippocampus and frontal cortex) of
4 control (CTRL), *Gba1*^{L444P/+} (L444P), Thy1- α Syn (ASO) and *Gba1*^{L444P/+}:Thy1- α Syn double
5 mutant (L444P:ASO) mice at 2.5 months of age. The amount of DA and DOPAC is calculated and
6 normalized to protein concentration. For each genotype, n= 6 animals. Data are mean \pm SEM. One-
7 way ANOVA followed by Tukey's multiple comparison test; **(B-H)** Cognitive and motor function
8 in control and dopaminergic neuronal specific *Gba1*CKO mice at 2.5 month of age. DAT-Cre
9 (CTRL), n=9; *Gba1*^{flox/+}:DAT-Cre+ heterozygous (*Gba1*het) mice, n=9; homozygous
10 *Gba1*^{flox/flox}:DAT-Cre+ (*Gba1*CKO) mice, n=13; **(B, C)** Contextual **(B)** and cued **(C)** fear memory;
11 **(D, E)** Morris water maze learning **(D)** and memory **(E)**; **(F)** Accelerating rotarod performance;
12 **(G)** Balance beam motor coordination; **(H)** Open field behaviors. Compared to CTRL littermates,
13 *Gba1*Het and *Gba1*CKO mice show normal cognitive and motor behaviors. Data are mean \pm SEM.
14 One-way ANOVA followed by Tukey's multiple comparison test. Source data are provided in
15 **Supplementary Table 8.**

16
17

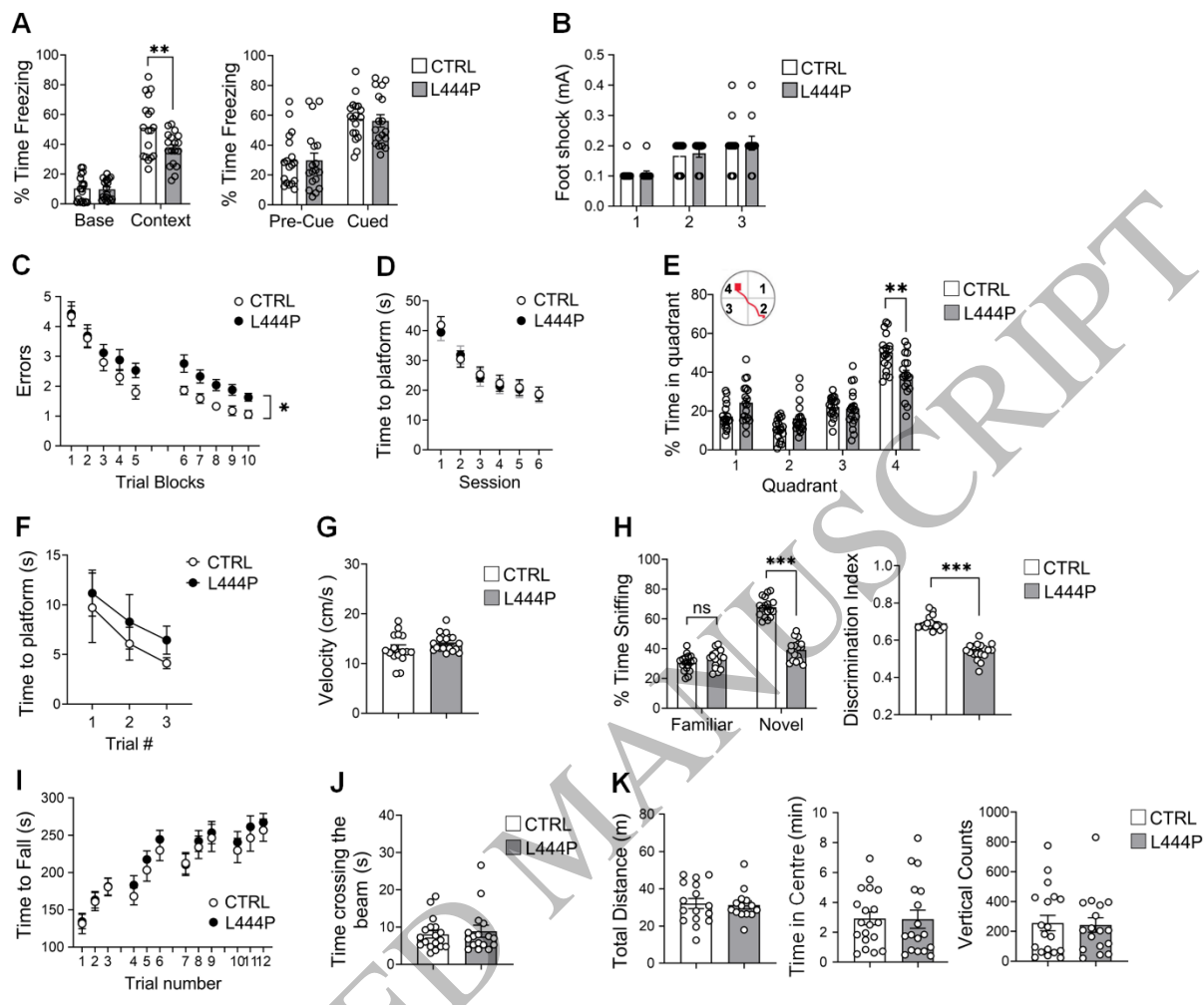


Figure 1
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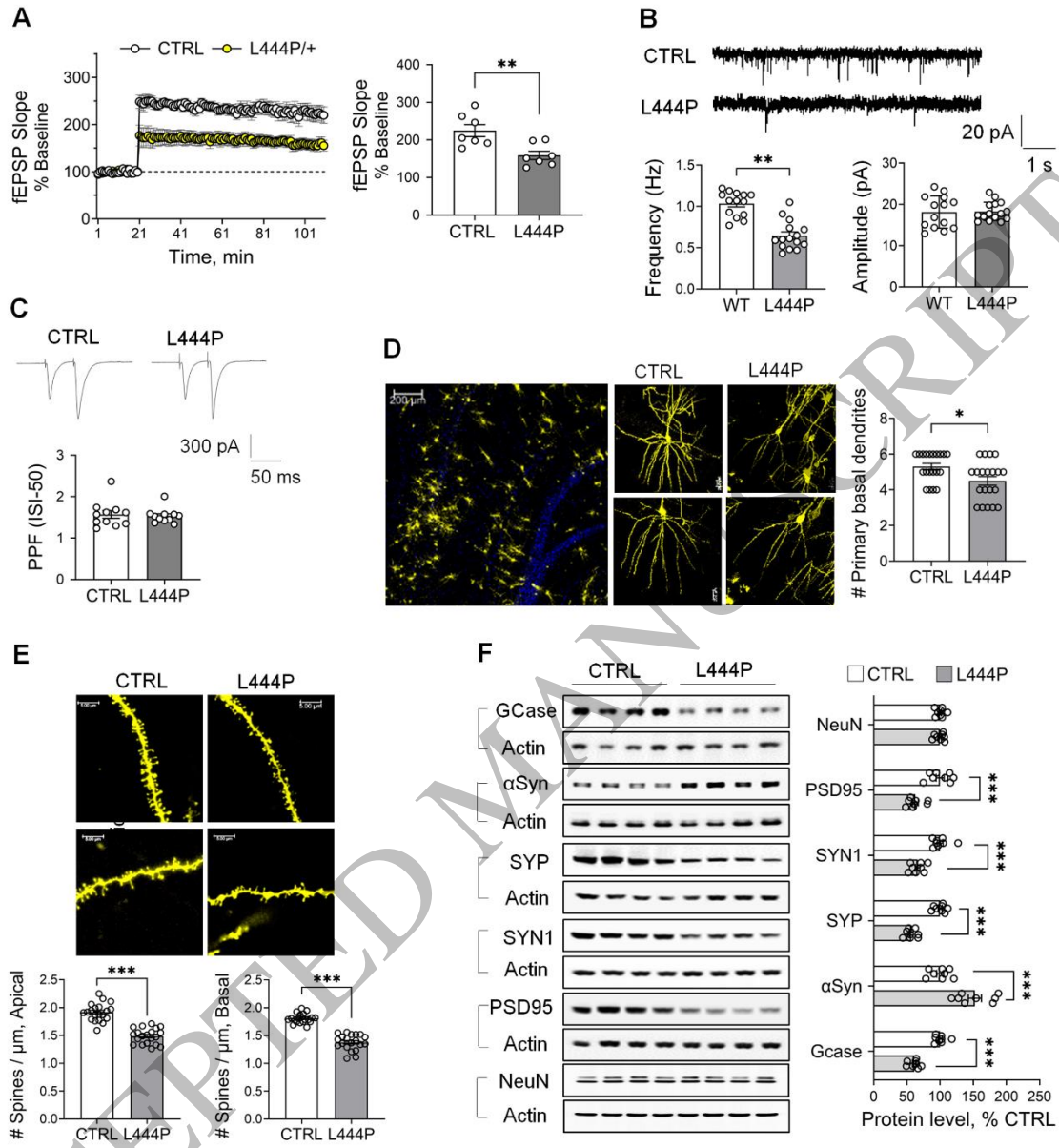


Figure 2
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1
2
3
4

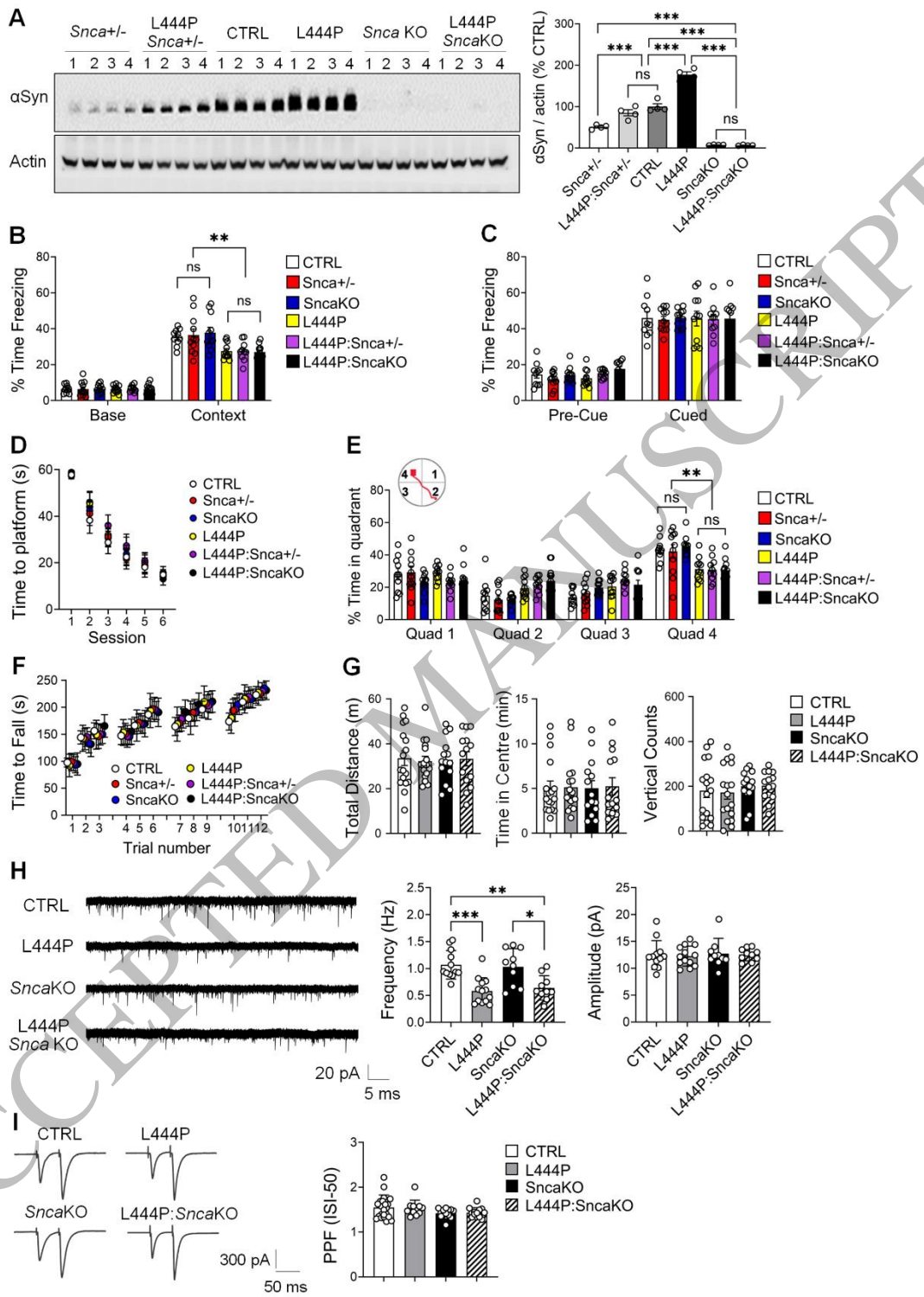


Figure 3
144x201 mm (x DPI)

1
2
3
4

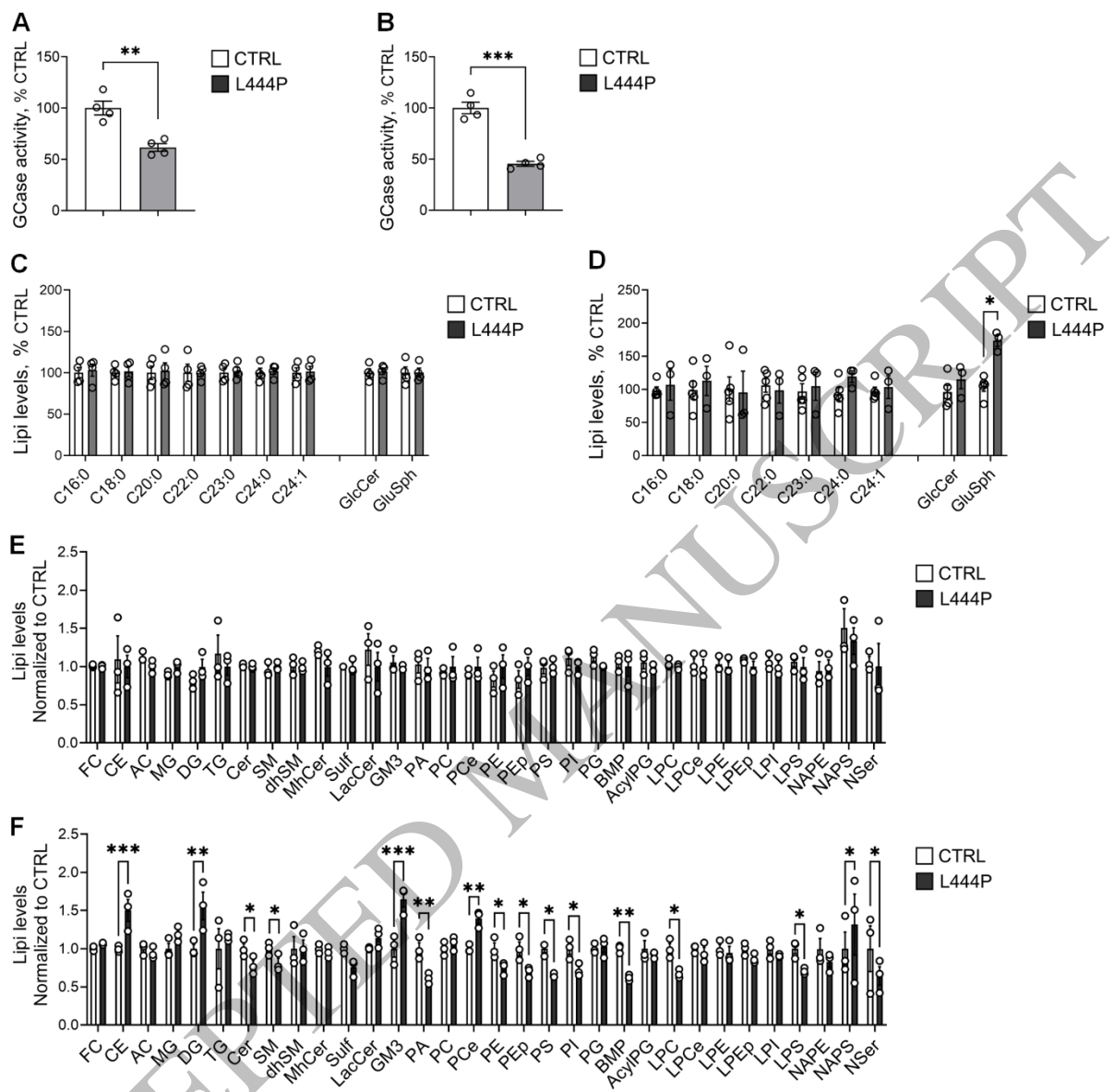


Figure 4
165x160 mm (x DPI)

1
2
3
4

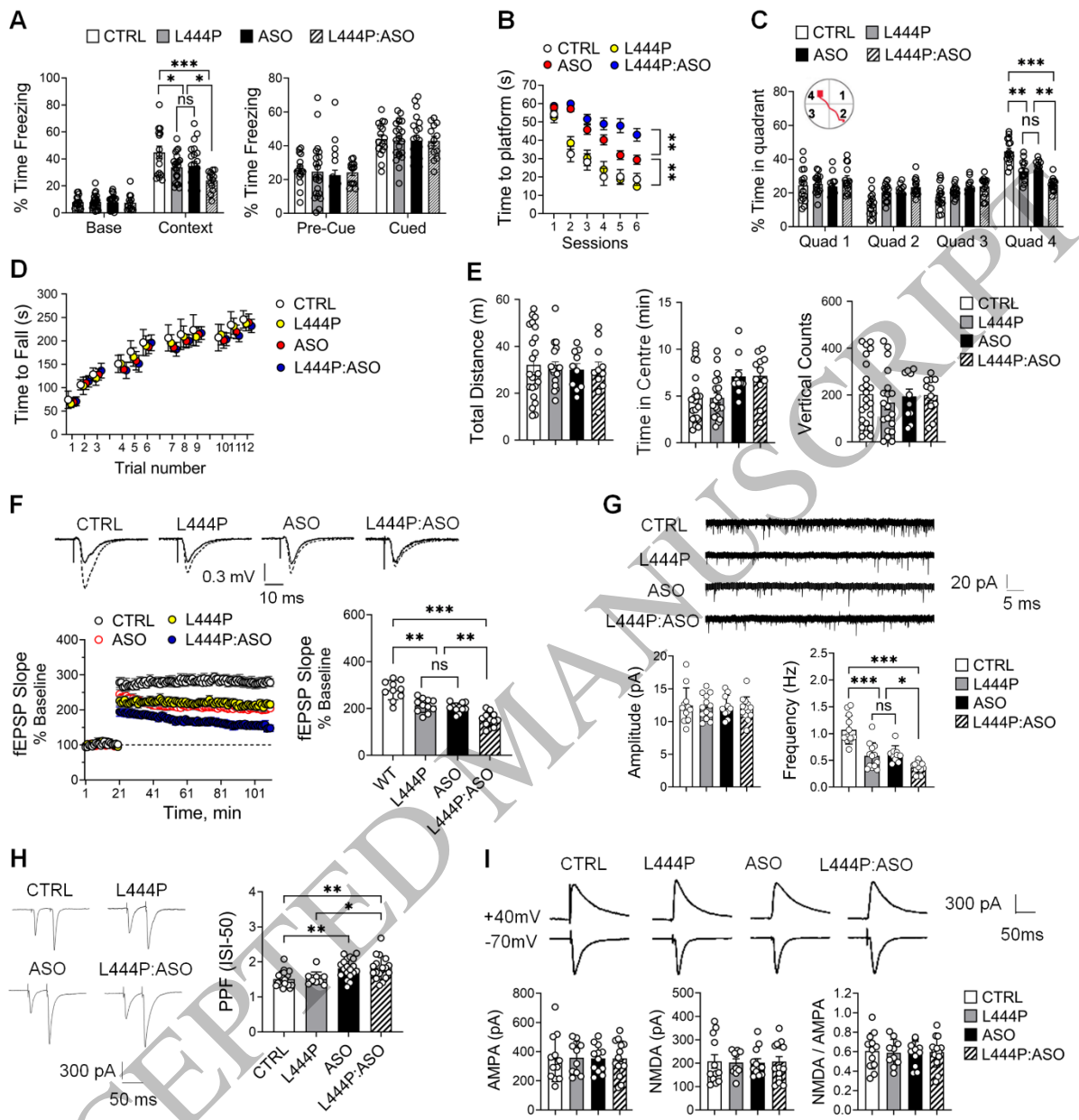


Figure 5
165x166 mm (x DPI)

1
2
3
4

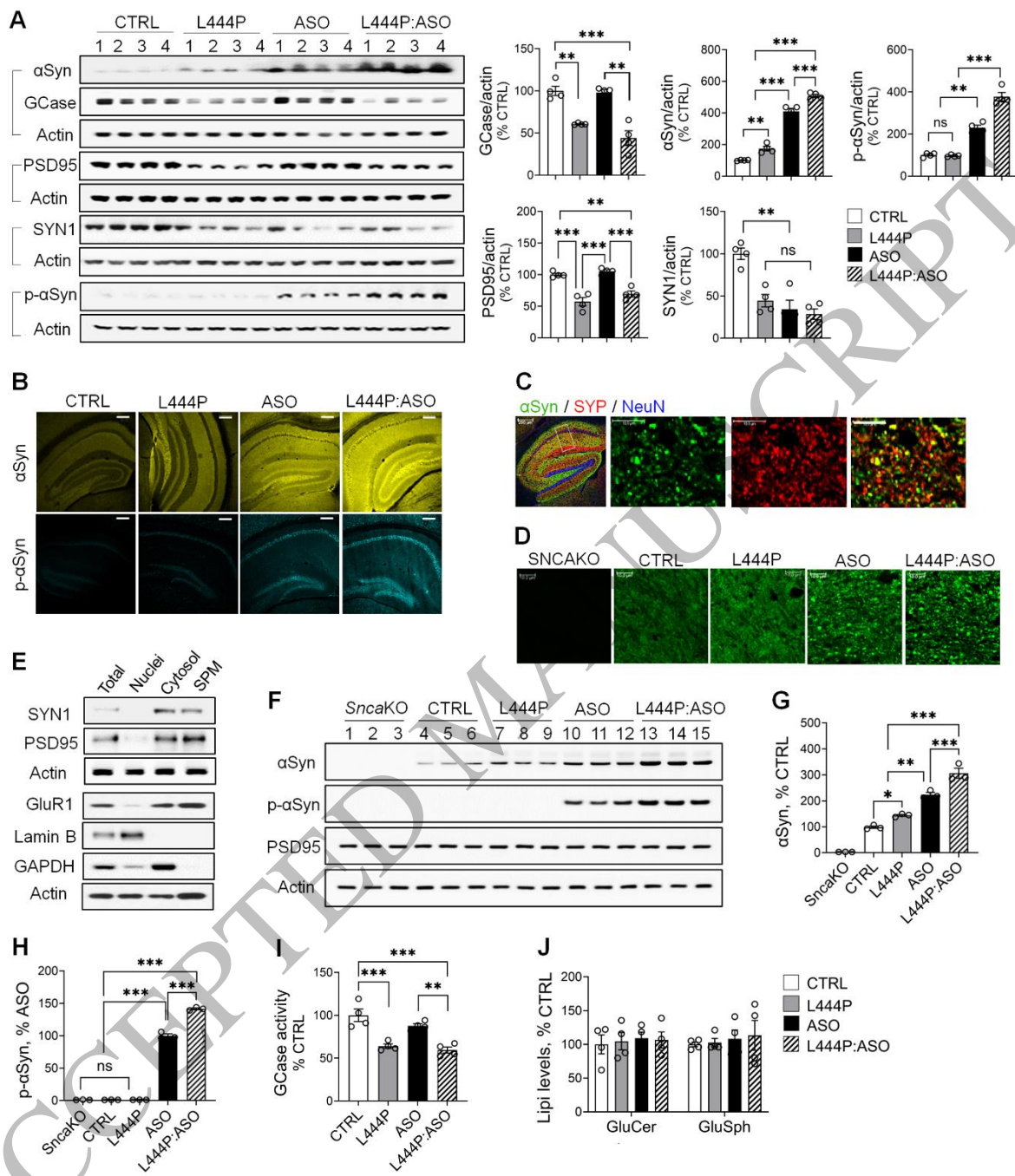


Figure 6
165x181 mm (x DPI)

1
2
3
4

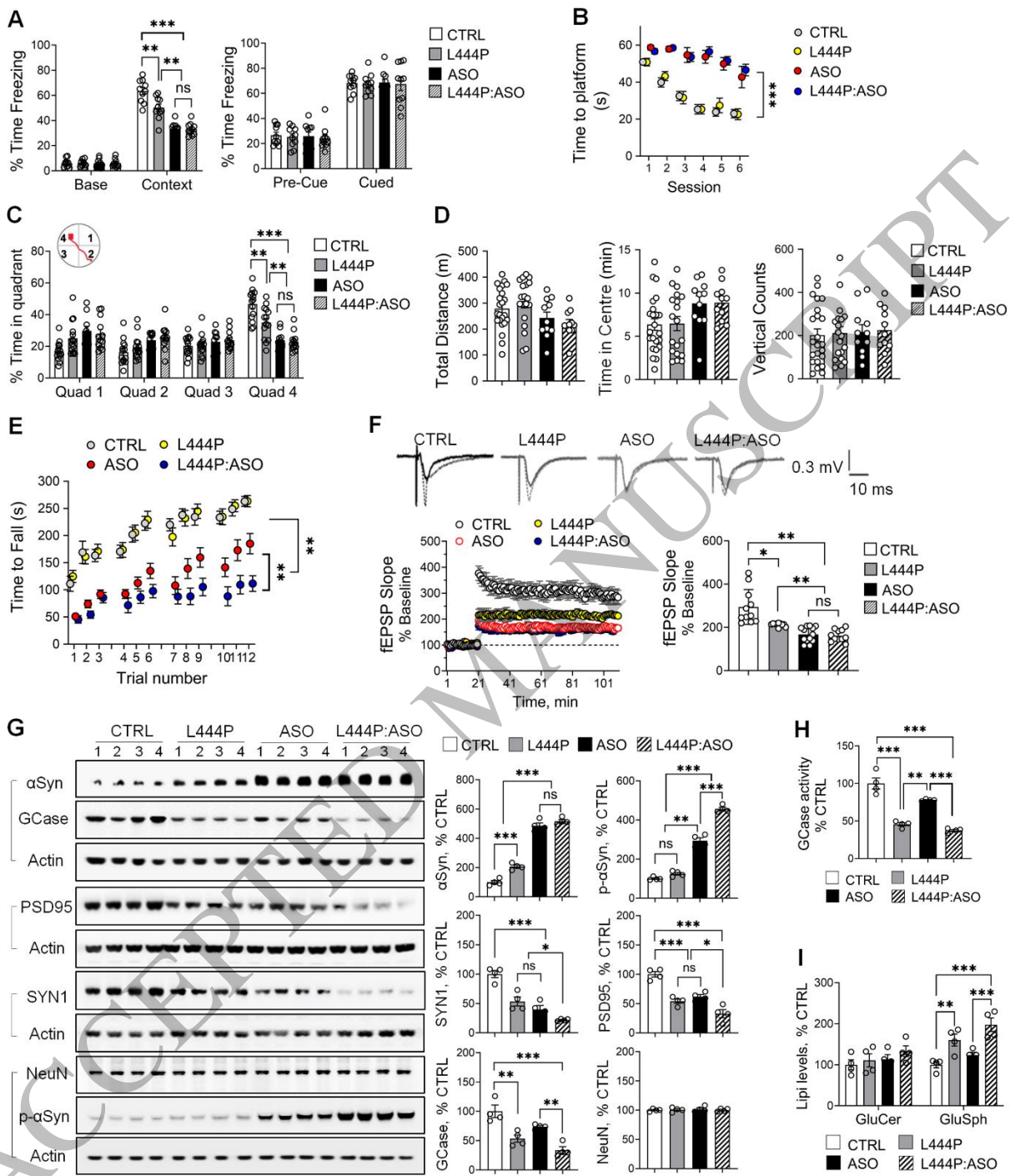


Figure 7
165x189 mm (x DPI)

1
2
3
4

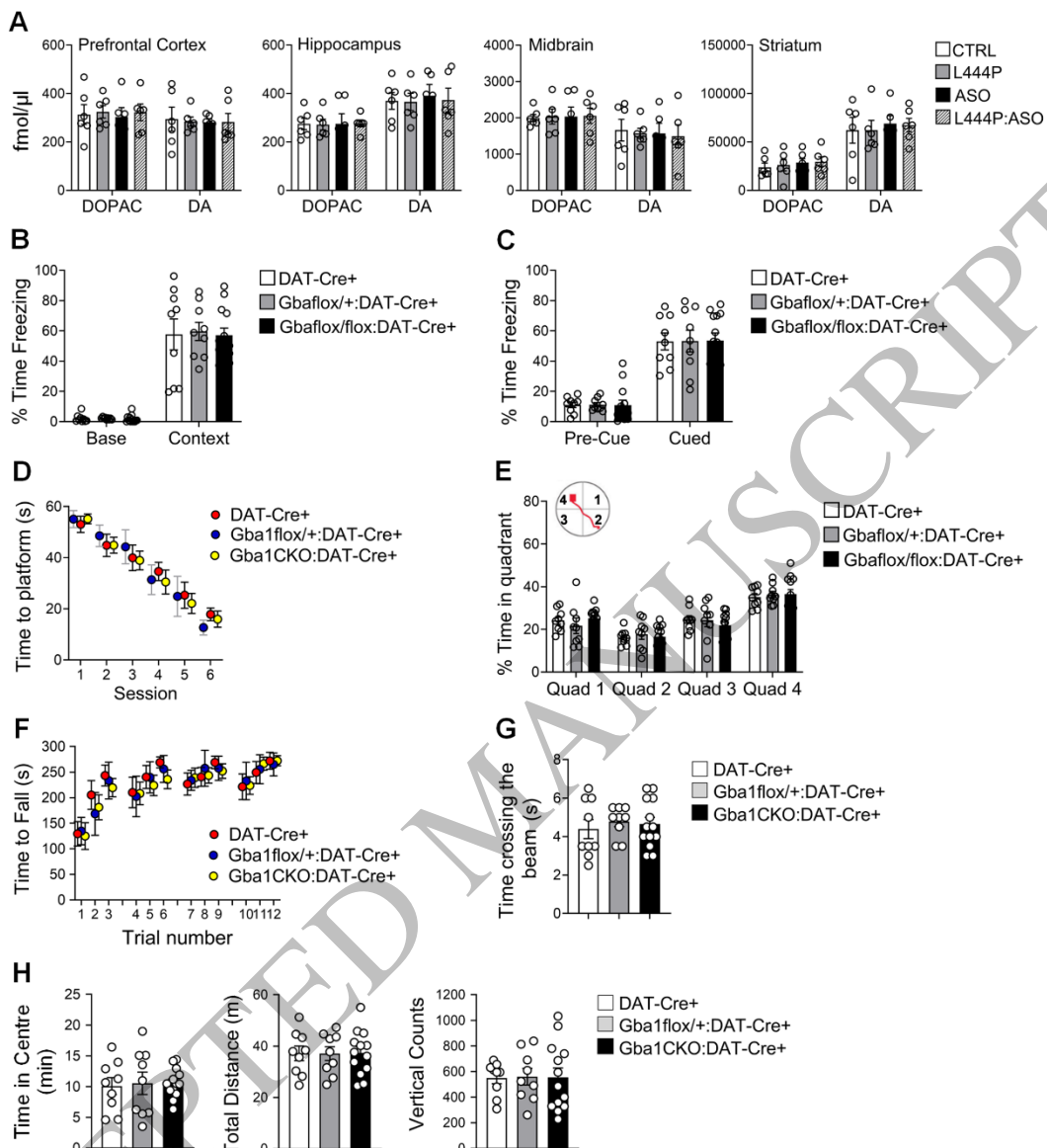


Figure 8
142x159 mm (x DPI)

1
2
3