



Subgingival microbiome and clinical periodontal status in an elderly cohort: The WHICAP ancillary study of oral health

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8 **Subgingival Microbiome and Clinical Periodontal Status in an Elderly Cohort:**

9 ***The WHICAP Ancillary Study of Oral Health***

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52 microbiome in an elderly population.
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3 **Author contributions:** Panos N. Papapanou and James M. Noble conceived of and obtained
4 funding for the study and supervised all aspects of the analyses; Alexis Kokaras processed the
5 microbial samples, and Bruce Paster, Heekuk Park, Medini K. Annavajhala, and Anne-Catrin
6 Uhlemann carried out different aspects of bioinformatics analyses of the microbiological data;
7 Sandra Burkett examined clinically all the patients, and Caitlin Wei-Ming Watson served as the
8 study coordinator; Bin Cheng served as the principal statistician of the study; Panos N. Papapanou
9 drafted the manuscript and all co-authors contributed with amendments and provided final
10 approval.
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ABSTRACT

Background: There is a sparsity of data describing the periodontal microbiome in elderly individuals. We analyzed the association of subgingival bacterial profiles and clinical periodontal status in a cohort of participants in the Washington Heights-Inwood Columbia Aging Project (WHICAP).

Methods: Dentate individuals underwent a full-mouth periodontal examination at 6 sites/tooth. Up to four subgingival plaque samples per person, each obtained from the mesio-lingual site of the most posterior tooth in each quadrant, were harvested and pooled. Periodontal status was classified according to the Centers for Disease Control/American Academy of Periodontology (CDC/AAP) criteria as well as based on the percentage of teeth/person with pockets ≥ 4 mm deep. Bacterial DNA was isolated and was processed and analyzed using Human Oral Microbe Identification using Next Generation Sequencing (HOMINGS). Differential abundance across the periodontal phenotypes was calculated using the R package DESeq2. α - and β -diversity metrics were calculated using DADA2-based clustering.

Results: The mean age of the 739 participants was 74.5 years, and 32% were male. Several taxa including *Sneathia amnii*-like sp., *Peptoniphilaceae* [G-1] bacterium HMT 113, *Porphyromonas gingivalis*, *Fretibacterium fastidiosum*, *Filifactor alocis* and *Saccharibacteria* (TM7) [G-1] bacterium HMT 346 were more abundant with increasing severity of periodontitis. In contrast, species such as *Veillonella parvula*, *Veillonella dispar*, *Rothia dentocariosa* and *Lautropia mirabilis* were more abundant in health. Microbial diversity increased in parallel with the severity and extent of periodontitis.

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3 **Conclusions:** The observed subgingival bacterial patterns in these elderly individuals corroborated
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5 corresponding findings in younger cohorts and were consistent with the concept that periodontitis
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7 is associated with perturbations in the resident microbiome.
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INTRODUCTION

The human oral microbiome is diverse¹, facilitates multiple essential functions including oral tissue homeostasis, development of mucosal immunity, and food digestion² and is currently thought to harbor over 700 bacterial species^{3,4}. It is well established that the composition of the periodontal microbiome is not static over time but subject to multiple perturbations due to environmental or endogenous exposures which associate with the clinical periodontal conditions². Indeed, multiple studies over the years have used a variety of technologies ranging from bacterial culture, to DNA probes and next generation sequencing and have documented profound differences in the subgingival microbiome between states of periodontal health and disease⁵⁻⁹. A finite number of “established periodontal pathogens”, i.e., bacterial species frequently recovered in higher proportions from deep pockets or from sites with progressive periodontal tissue loss¹⁰ have been identified and have been extensively studied with respect to function and virulence properties in *in vitro* and animal models¹¹. More contemporary studies have increasingly focused on microbial communities rather than on specific bacterial taxa, in recognition that periodontitis is not a classic microbial infection but is rather associated with a state of microbial dysbiosis¹². Generally speaking, this dysbiosis has been considered either *the cause* of the disruption of periodontal homeostatic mechanisms that leads to inflammatory responses and results in breakdown of the periodontal tissues¹³ or, according to an alternative view, *the result* of inflammatory changes that act as environmental stressors and, in turn, induce bacterial dysbiosis¹⁴. In other words, periodontitis is either viewed as a polymicrobial perturbation of the host homeostasis in a susceptible host, or as an inflammation-driven disruption of the periodontal microbial homeostasis, leading to subgingival dysbiosis and subsequent host-mediated destruction of the periodontal tissues. In our view, both scenarios are biologically plausible and

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3 complementary, and in fact converge in the development of periodontitis. While specific bacterial
4 species with disproportionate effects of the microbial habitat have been described¹⁵, the role of the
5 aggregate microbial community at the dento-gingival niche is likely more important than that of
6 individual constituents¹⁶. Therefore, it appears that the quest towards an increased understanding
7 of the determinants of periodontitis, and in particular the contribution of the microbiome to the
8 periodontitis susceptibility puzzle, will be better served by research that studies bacterial
9 communities, rather than individual bacterial species.

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12 Additional studies of the subgingival microbiome in elderly individuals are particularly important
13 for multiple reasons. First, the segment of the world population over 60 years continues to expand
14 and has been projected to almost double between 2015 and 2050, from 12% to 22%¹⁷. With
15 edentulism decreasing, tooth retention in older dentate persons increasing, and age-associated
16 comorbidities on the rise¹⁸, the oral health care needs of the elderly continue to grow and to become
17 increasingly complex. From a research perspective, while studies investigating individuals of
18 young age can correctly identify those susceptible to periodontitis on the basis of prevalent
19 pathology, accurate detection of non-susceptible individuals remains problematic since a young
20 periodontally healthy or intact person may still develop periodontitis later in life. Therefore, the
21 risk of misclassification is substantial. In contrast, studying the determinants of susceptibility to
22 periodontitis among elderly individuals with fully developed periodontal phenotypes minimizes
23 the risk for misclassification. Importantly, as pointed out in a fairly recent comprehensive review
24 of available studies examining the subgingival microbiota of the aging mouth¹⁹, data from older
25 cohorts are particularly sparse.

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3 Our group has conducted an Ancillary Study of Oral Health among the participants of the
4 Washington Heights-Inwood Columbia Aging Project (WHICAP), which is a multi-ethnic
5 longitudinal study of aging elderly residents in northern Manhattan in New York. In this work, we
6 present data on the subgingival microbiome of the dentate participants of the ancillary study, and
7 of the association of metrics of bacterial relative abundance and diversity with clinical periodontal
8 status.
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MATERIALS AND METHODS

WHICAP Ancillary Study of Oral Health

Over the past 20 years, WHICAP has serially assessed approximately 6,000 participants over the age of 65 years with respect to medical, social, and health behavior histories, general medical exams, and neuropsychological testing²⁰. The WHICAP Ancillary Study of Oral Health is a cross-sectional cohort study that recruited 1,130 individuals among the parent study participants and was conducted between December 2013 and June 2016²¹. The mean age of the ancillary study participants was 75.4 years (SD 6.7); 66.6% of the attendees were female, 44.7% were Hispanic, 30.4% Black and 23.3% White. The Institutional Review Board of the Columbia Presbyterian Irving Medical Center approved the design and procedures of the study which was conducted according to the Helsinki Declaration of 1975, as revised in 2013. All participants signed written informed consent forms. The clinical oral examination protocol was described earlier²¹, and included full-mouth assessments of pocket depth (PD) and clinical attachment level (CAL) at six sites per tooth (mesio-buccal, mid-buccal, disto-buccal, disto-lingual, mid-lingual and mesio-lingual) at all present teeth, excluding third molars, by a single calibrated dental examiner.

Subgingival Plaque Sample Collection and Processing

From all dentate participants, four individual subgingival plaque samples, each from the mesio-lingual surface of the most posterior tooth in each quadrant were obtained prior to the probing examination. In brief, supra-gingival plaque was removed from the teeth to be sampled and subgingival plaque was harvested using sterile currettes[#] and was transferred to individual

[#] Gracey 11/12, Hu-Friedy, Chicago, IL

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3 Eppendorf tubes that contained 150 μ l of sterile T-E buffer (10mM Tris HCl, 1.0 mM EDTA, pH
4 7.6). The plaque pellet was resuspended using a sterile pipette and was vigorously vortexed.
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6 Subsequently, one half of each individual plaque sample was transferred into a new sterile tube to
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8 create a single, pooled subgingival plaque sample per participant. Samples were kept at -80°C until
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10 processing which was carried out at the Forsyth Institute, Cambridge by means of Human Oral
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12 Microbe Identification using Next Generation Sequencing (HOMINGS), an *in silico* 16S rDNA-
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14 based semi-quantitative analysis, using a modified protocol previously described²². Briefly, V3-
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16 V4 forward (341F) AATGATACGGCGACCACCGAGATCTACACTATGGTAATTGTCCTACGGGAGGCAGCA
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18 G and reverse (806R) CAAGCAGAAGACGGCATACGAGATNNNNNNNNNNNAGTCAGTCAGCCGGACTAC
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20 HVGGGTWTCTAAT primers were used for PCR-amplification of 10-50 ng of DNA extracted from
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22 each sample, and then purified using AMPure beads. A library of 100 ng was then pooled, gel-
23
24 purified, and subsequently quantified using qPCR. 20% PhiX was added to 12 pM of the library
25
26 and sequenced**.

35 ***Data analyses***

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37 Periodontal status was analyzed using the categorical four level CDC/AAP classification²³, as well
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39 as using continuous measures of extent and severity of periodontitis (% of teeth per person with
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41 $\text{PD} \geq 4$ mm and ≥ 6 mm, and % of teeth per person with $\text{CAL} \geq 3$ mm and ≥ 5 mm). Analyses of
42
43 the clinical phenotypes were carried out using a statistical software^{††}.

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49 Bacterial identification from 16S rRNA gene sequence data was determined using ProbeSeq for
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51 HOMINGS, a customized BLAST algorithm that contained species-specific, custom-made 16S

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55 ** MiSeq, Illumina, San Diego, CA.

56 †† R Statistical Package, version 3.6.1, R Foundation for Statistical Computing, Vienna, Austria.

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3 rDNA *in silico* probes (17 to 40 bases), according to the HOMD database²⁴. Bacterial
4 identification was based on 598 oligonucleotide probes targeting individual oral bacterial species
5 or a cluster of a few closely-related species as well as 94 genus-specific probes, which identified
6 groups of closely related species within the same genus²⁵,
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12 ²⁶, <http://homings.forsyth.org/bacterialtaxa.html>. An earlier published comparison of HOMINGS
13 with the classical tree-based approach implemented in QIIME showed congruent composition
14 profiles of clinical samples and mock communities as well as similar α - and β - diversity estimates
15 obtained through the two approaches²⁷.
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24 In addition, the Divisive Amplicon Denoising Algorithm version 2 (DADA2 1.12.1) was used for
25 quality-filtering, trimming, error correction, exact sequence inference, chimera removal and
26 generation of amplicon sequence variant tables (ASV)²⁸. Taxonomic classification was performed
27 using a Naïve Bayes classifier trained using the GreenGenes 97% clustered sequences (version
28 13_8), downloaded from <https://benjjneb.github.io/dada2/training.html>. The ASV tables were
29 imported into R 3.6.1 to calculate α -diversity²⁹⁻³¹ and β -diversity metrics using a function of the
30 phyloseq v1.28.0 package³². Based on α -diversity rarefaction, samples were included in the
31 analyses if the rarefaction curves were plateaued and a minimum cutoff of 10,000 counts was
32 exceeded. Differential abundance analysis for bacterial ASVs was performed using DESeq2. The
33 relative abundance of each species or genus examined was correlated with CDC/AAP class and
34 with the % of teeth PD \geq 4 mm, adjusted for age, gender, education levels and smoking status. The
35 p-values were adjusted by the Benjamini-Hochberg method³³ to control the false discovery rate at
36 5%. β -diversity was analyzed using permutational multivariate analysis of variance
37 (PERMANOVA), a non-parametric multivariate ANOVA that identifies differences in sample
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centroids. Test statistics were calculated based on a comparison of dissimilarities among inter-class and intra-class objects. Analyses were adjusted for age, gender, educational level and smoking.

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RESULTS

Cohort Characteristics and Clinical Periodontal Status

The present report includes data from 739 dentate individuals whose (i) bacterial sample-derived sequencing data met the quality metrics described above, and (ii) periodontal status could be classified according to the CDC/AAP criteria. The participants had a mean age of 74.5 years (range 60.2-98.2); 31.7% were men; 39.5% were Hispanic, 30.5% African-American and 28.5% Caucasian; 44.4% were former and 3.5% current smokers; and 60.8% were of middle (12-16 years) or high (≥ 17 years) educational attainment (Table 1).

Table 2 describes the distribution of the samples by CDC/AAP class, as well as by quartiles of percent of teeth per person with pockets ≥ 4 mm deep (the distribution by quartile of percent of teeth per person with pockets ≥ 6 mm deep was extremely skewed, while the CAL-based quartiles showed only weak associations with the microbial profiles and were thus abandoned in all further analyses). Approximately a fifth of the participants (20.6%) was classified as periodontally healthy, only 2.7% of the cohort fulfilled the criteria for mild periodontitis, 54.5% had moderate and 22.2% severe periodontitis. People in the first quartile had no teeth with pockets ≥ 4 mm; people in the second quartile had between 0% and 15.38% of their teeth affected at that level of pocketing; people in the third quartile had between 15.39% and 33.33% of their teeth with pockets ≥ 4 mm, while the fourth quartile included individuals with up to 100% of their teeth affected. Table S1 in online Journal of Periodontology shows detailed clinical periodontal data in each CDC/AAP class and quartile. The microbiologically sampled sites had a deeper average probing depth than the full-mouth score (3.06 mm; SD 0.94; range 1.00-7.25) versus 2.23 mm; SD 0.59; range 1.08-6.35) while the Pearson correlation between the two was 0.81 ($p < 0.001$).

Relationship between Bacterial Relative Abundance and Clinical Periodontal Status

Table 3 presents the 10 most abundant taxa by AAP/CDC class (top panel) as well as by quartile of teeth per person with pockets ≥ 4 mm (bottom panel), as identified using the HOMINGS pipeline. Streptococcal species, *Leptotrichia wadei* and *Rothia dentocariosa* were consistently among the most abundant taxa across all phenotypes. At the bottom of each panel, rankings and relative abundance are presented for four established “periodontal pathogens” (*Treponema denticola*, *Tannerella forsythia*, *Porphyromonas gingivalis* and *Aggregatibacter actinomycetemcomitans*) according to each clinical phenotypes. With the exception of *T. denticola*, which ranked third in abundance in severe periodontitis and among the fourth quartile of ≥ 4 mm pockets per person, these bacterial taxa ranked low in abundance in clinical states suggestive of clinical periodontal pathology. The complete rankings and relative abundance of all taxa identified are presented in Table S2 in online Journal of Periodontology.

Figure 1 illustrates findings derived from differential abundance analysis using the DESeq2 package with respect to CDC/AAP class (left panel) and probing depth-based quartile (right panel). Depicted taxa had a minimum differential abundance fold change of 2 with a p value of <0.01 . Periodontal healthy conditions and persons with no pockets *with* probing depth ≥ 4 mm (Q1) were used at the reference group for all comparisons in the left and right panel, respectively. A pronounced elevation of a number of taxa, including *Leptotrichiaceae*, *Peptoniphilaceae*, several species of the genus *Treponema* and TM7 was noted both in severe periodontitis and among participants in the fourth quartile of people with pocketing. Interestingly, *P. gingivalis* was among the species elevated in severe periodontitis but not in the fourth quartile. After adjustments for

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3 age, sex, smoking and education, 54 out of 303 taxa and 35 out of 93 genera analyzed were
4 differentially abundant (DA) between the four CDD/AAP with a false discovery rate (FDR) of
5 <0.05 (Tables S3 and S4 in online Journal of Periodontology). Similar analyses based on quartiles
6 of % teeth/person with $PD \geq 4$ mm, identified 54 DA taxa and 56 DA genera (Tables S5 and S6 in
7 online Journal of Periodontology).
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17 ***Relationship between Bacterial Diversity and Clinical Periodontal Status***

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19 Figure 2 presents α -diversity metrics (Chao and Shannon indices) in the four CDC/AAP classes
20 (Fig. 2A) and in the quartiles according to % of teeth / person with $PD \geq 4$ mm (Fig. 2B). In
21 general, both α -diversity metrics were higher in the presence of periodontal pathology when
22 compared to health, irrespective of whether periodontal status was characterized by means of
23 CDC/AAP classes or by means of quartiles of % teeth with ≥ 4 mm pockets, however, no
24 statistically significant differences were detected between moderate vs. severe periodontitis (Fig.
25 2A) or between Q3 and Q4 (Fig 2B). Similar patterns, albeit somewhat attenuated, were observed
26 in analyses adjusted for age, sex, smoking and educational level (Figs. 2C and 2D). Note that the
27 “mild periodontitis” category was an outlier in the observed trend.
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42 Figure 3 describes β -diversity metrics (Bray-Curtis dissimilarity) according to periodontal status
43 expressed through CDC/AAP classes (Fig. 3a) or through quartiles of % of teeth/person with ≥ 4
44 mm pockets (Fig. 3b). Statistically significant differences were observed between periodontal
45 health and mild periodontitis ($p=0.019$), periodontal health and moderate periodontitis ($p=0.05$),
46 periodontal health and severe periodontitis ($p=0.001$) and between moderate and severe
47 periodontitis ($p=0.001$) but not between mild and moderate periodontitis or between mild and
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severe periodontitis. In contrast, statistically significant differences in β -diversity emerged in all pairwise comparisons based on quartiles of % of teeth/person with pockets \geq 4 mm deep.

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DISCUSSION

In this study, we concomitantly examined the clinical periodontal status and the subgingival microbiome of a cohort of elderly individuals. We obtained and analyzed plaque samples by means of next generation sequencing to carry out a comprehensive identification of the prevalent bacterial taxa as well as to calculate relative abundance and α - and β -diversity metrics in different states of periodontal health and disease. We classified the clinical periodontal status using both a four-level ordinal scale that is widely used in epidemiological studies (the CDC/AAP classification) and a continuous measure of periodontitis extent and severity based on the percentage of teeth per participant with pockets ≥ 4 mm deep. Our findings indicate that (i) the most abundant and/or differentially enriched taxa that emerged among the distinct periodontal phenotypes in this cohort of elderly individuals were generally similar to those described in the literature for younger age groups; and (ii) subgingival microbial diversity increased in parallel with the severity and extent of periodontitis.

A number of methodological aspects of the present study must be emphasized to correctly interpret the findings. First, the individuals involved were a subset of elderly, community dwelling participants in a longitudinal study of aging in a tri-ethnic population. They were not selected on the basis of any particular periodontal condition, and are thus representative of the source population with respect to both clinical periodontal status and periodontal microbiology. Importantly, the periodontal condition of the participants was assessed through a full-mouth examination (6 sites per tooth at all teeth present by a single examiner according to a standardized protocol as earlier described²¹), therefore, the risk for a biased assessment of periodontal status

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3 due to partial recording (a common shortcoming of epidemiologic studies of periodontitis³⁴) is not
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5 an issue in the present study.
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10 Second, our sampling strategy called for collection of four subgingival plaque samples (each
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12 harvested from the mesio-lingual surface of the most posterior tooth in each quadrant) which were
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14 subsequently pooled into a single sample/participant. Given the established association between
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16 probing depth and microbial profiles ^{8, 35-37}, the mesio-lingual, rather than the commonly used
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18 mesio-buccal site was purposefully selected to avoid microbial sampling from shallower pockets
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20 due to gingival recession. Importantly, our data showed that the probing depths of the sampled
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22 sites were, on average, deeper than, but strongly positively correlated with, the whole mouth scores.
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24 Thus, a potential concern that the microbial sampling strategy used that involved fixed sites would
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26 bias the harvested microbiome towards periodontal health cannot be substantiated. However,
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28 pooling of microbial samples clearly distorts the correlation between the microbial community and
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30 the clinical characteristics of the sampled sites, and allows the most numerous and abundant taxa
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32 to ‘overpower’ those present in lower proportions. Hence, the reported relative abundance values
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34 (Table 3 and Table S2 in online Journal of Periodontology) represent proportions of the aggregate
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36 of four individual samples and do not reflect the actual relative abundance of the particular taxa in
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38 their original habitat.
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47 Lastly, all diversity metrics were calculated by mapping of ASV sequences to the GreenGenes
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49 database which, although inferior to HOMD with respect to precision in the taxonomy of oral
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51 bacteria, resulted in an average of only 4% unmatched reads on the species level among the 739
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53 pooled samples analyzed.
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3 As shown in Table 3, species of the genus *Leptotrichia*, *Streptococcus* and *Corynebacterium* were
4 among the most abundant taxa in moderate and severe periodontitis according to the CDC/AAP
5 classification as well as in the third and fourth quartiles of % of teeth/person with ≥ 4 mm deep
6 pockets. In contrast, with the notable exception of *Treponema denticola* which ranked third in
7 abundance in severe periodontitis and in the Q4 of pocketing, all other established periodontal
8 pathogens were conspicuously absent from the high ranked abundant species. Thus, *Tannerella*
9 *forsythia* ranked 73rd and 69th in severe periodontitis and Q4, respectively, with relative abundance
10 between 0.32 and 0.35%. Corresponding values for *P. gingivalis* which ranked 89th in severe
11 periodontitis and 97th in Q4 were 0.25% and 0.22%. Lastly, *A. actinomycetemcomitans* ranked
12 261st and 267th in severe periodontitis and Q4, respectively, with relative abundance of 0.03-0.02%.
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14 The fact that these “periodontal pathogens” comprised only a very small proportion of the
15 subgingival microbiome is in accordance with earlier studies that reached similar conclusions
16 using a variety of techniques including checkerboard hybridizations^{38, 39}, 16s rRNA sequencing⁹,
17 ⁴⁰⁻⁴⁴ and metagenomics/metatranscriptomics^{45, 46}.

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38 Perhaps not surprisingly, given the fact that we analyzed pooled samples, there was considerable
39 overlap between the 10 top most abundant taxa encountered in periodontal health/mild
40 periodontitis or in Q1/Q2 and those in more severe states of disease, an observation suggesting
41 that most of the taxa likely represent constituents of the resident microbial periodontal habitat.
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43 However, as illustrated in Figure 1, a number of conspicuous differences in relative abundance
44 were noted between periodontal health and severe periodontitis and between Q1 and Q4 for several
45 species. Although depicted differences between periodontal health and mild periodontitis should
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47 be interpreted with caution because the latter group included only 20 individuals, species such as
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3 *Sneathia amnii*-like sp. and *Peptoniphilaceae* [G-1] bacterium HMT113 were noticeably more
4 abundant in severe periodontitis than in health, and in Q4 than in Q1. This was also observed for
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7 *P. gingivalis* in severe periodontitis versus health, for *Filifactor alocis* in Q4 versus Q1 and for
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10 *Fretibacterium fastidiosum* and *Saccharibacteria* (TM7) [G-5]-like sp. in both the severe
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12 periodontitis versus health, and the Q4 versus Q1 comparisons. In contrast, species such as
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14 *Veillonella parvula*, *Veillonella dispar*, *Rothia dentocariosa* and *Lautropia mirabilis* were
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16 significantly less abundant in severe periodontitis and Q4 when compared to periodontal health
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18 and Q1, respectively. However, the differences in bacterial profiles between the various
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20 periodontitis-related phenotypes observed in the present study were generally less pronounced than
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22 those earlier documented in studies of rather limited size (such as a comparison between 29
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24 periodontally healthy individuals with 29 chronic periodontitis patients⁴¹, and a comparative
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26 analysis of plaque samples from 30 post-menopausal women with or without periodontitis¹⁶), or
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28 those detected in a recent large study of 1,206 women over the age of 50 years⁴⁷.
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35 What is in agreement with the above studies and additional publications in the literature (e.g.,⁴⁸,
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37 ⁴⁹) is the significant association between α - (Figure 2) and β -diversity (Figure 3) and the extent
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39 and severity of periodontitis, suggesting that periodontitis is not a “classical infection” where a
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41 single, exogenous pathogen dominates the niche in the state of disease but is rather characterized
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43 by progressive microbial dysbiosis characterized by relative enrichment of the habitat by resident
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45 bacterial species. However, a decrease in α -diversity from health to periodontitis has also been
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47 documented in the literature⁵⁰ and has been proposed to support the keystone species hypothesis¹⁵.
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51 As expected, the observed differences in the diversity metrics in our study became more
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53 pronounced when comparing extreme rather than consecutive phenotypic classes, and remained
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3 statistically significant for both the ordinal and the continuous phenotypes after adjustment for age,
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5 sex, smoking and educational level.
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10 Lastly, the findings of the present study suggest that segregation of the participants according to
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12 periodontal status by means of a continuous measure of extent and severity of periodontitis (i.e., %
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14 of teeth/person with ≥ 4 mm deep pockets) than by an ordinal, categorical scale (i.e., the CDC/AAP
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16 classes) seemed to translate in more distinct bacterial profiles between the clinical phenotypes.
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18 Indeed, differences in bacterial abundance (Figure 1) and α -diversity (Figure 2) were more
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20 pronounced when performing quartile-based than CDC/AAP class-based comparisons. This
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22 appears reasonable, as increased pocketing creates an environment conducive of ecological shifts
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24 towards dysbiosis, while attachment loss *per se* (a component in the CDC/AAP classification) does
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26 not. The latter finding was also substantiated by exploratory analyses with attachment loss-based
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28 quartiles that did not correlate with distinct microbial profiles (data not shown).
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35 CONCLUSIONS

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37 The present findings derived from a sizeable cohort of elderly, community-dwelling individuals
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39 who were not pre-selected on the basis of their periodontal condition add to the sparse literature
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41 on the bacterial ecology of the aging mouth, and are consistent with the concept of periodontitis
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43 being associated with perturbations in the resident subgingival microbiome.
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For Peer Review

FIGURE LEGENDS

Figure 1. Heatmap of differential abundance between CDC/AAP classes (panel A) and quartiles of % teeth/person with pockets ≥ 4 mm deep (panel B).

Differential abundance testing (DESeq2, R package) was carried out to determine differences between groups using ProbeSeq for HOMINGS. Periodontal health (for CDC/AAP classes) and Q1 (quartile-based analyses) were used as the comparison groups. Bacterial taxa marked in red were statistically significantly ($p < 0.01$) *more* abundant, and those marked in blue *less* abundant than the reference group with absolute \log_2 foldchange > 2 .

Figure 2. Alpha diversity using the DADA2 pipeline (Chao 1 estimator, left; Shannon index, right) according to CDC/AAP classes (panels A, C) and quartiles of % teeth/person with pockets ≥ 4 mm deep (panel B, D). Statistical significant differences were tested using the non-parametric Wilcoxon Rank Sum test.

The lower panels (C, D) represent a linear regression analyses adjusting for age, sex, smoking and educational level. Periodontal health (for CDC/AAP classes) and Q1 (quartile-based analyses) were used as the comparison groups. The plots illustrate the coefficients of the model and are marked in red if significantly different at $p < 0.05$.

Figure 3. Three-dimensional Principal Coordinates Analysis (PCoA) plots of β -diversity using output from the DADA2 pipeline. Beta diversity is visualized by means of Bray-Curtis distance metrics. (A) CDC/AAP classes; (B) quartiles of % teeth/person with pockets ≥ 4 mm deep.

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Table 1. Demographic and other characteristics of the study sample (N=739)

Age (yrs)			Gender			Race/Ethnicity			
	N	%		Male	Female	Hispanic	African/American	Caucasian	Other
65-69	223	30.2							
70-74	259	35.1							
75-79	114	15.4	N	234	505	292	225	211	11
80+	143	19.3	%	31.7	68.3	39.5	30.5	28.5	1.5
Mean 74.5; SD 6.4; Range 60.2*-98.2									
Smoking			Educational attainment						
	N	%		N	%				
Never	370	50.1	Low	240	32.5				
Former	328	44.4	Middle	341	46.1				
Current	26	3.5	High	153	20.7				
Missing	15	2.0	Missing	5	0.7				

The reported age was calculated by subtracting the date of birth from the date of the oral examination. Due to inaccuracies in birthdate data, a total of 17 participants were in fact younger than the minimum stipulated age of 65 years [15 participants were between 64 and 65 yrs old, 2 were between 63 and 64 yrs old, and one was 60.2 years old]

Table 2. Clinical periodontal status in the sample according to the CDC/AAP classification, as well as according to percentage of teeth per person with pockets ≥ 4 mm deep

CDC/AAP classes	N	%
No periodontitis	152	20.6
Mild	20	2.7
Moderate	403	54.5
Severe	164	22.2

Quartiles of % teeth/person with PD ≥ 4 mm	Quartile limits
Q1	0.00% - 0.00%
Q2	0.00% - 15.38%
Q3	15.39% - 33.33%
Q4	33.34% - 100.00%

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Table 3. The 10 most abundant taxa by AAP/CDC class (top panel) and by quartile of % of teeth per person with pockets ≥ 4 mm (bottom panel)
The table also lists the rankings and relative abundance of four "established periodontal pathogens" (*Treponema denticola*, *Tannerella forsythia*, *Porphyromonas gingivalis* and *Aggregatibacter actinomycetemcomitans*) according to clinical periodontal phenotype
Note that genus level probes capture several species within the genus other than those for which specific species-level probes were used.

Rank by CDC/AAP class	Healthy	Relative abundance (%)	Mild	Relative abundance (%)	Moderate	Relative abundance (%)	Severe	Relative abundance (%)	
1	<i>Rothia dentocariosa</i>	7.15	<i>Streptococcus</i> Genus probe 4	12.86	<i>Streptococcus</i> Genus probe 4	7.65	<i>Streptococcus</i> Genus probe 4	6.84	
2	<i>Streptococcus</i> Genus probe 4	7.06	<i>Leptotrichia wadei</i>	6.15	<i>Leptotrichia wadei</i>	6.42	<i>Leptotrichia wadei</i>	4.12	
3	<i>Leptotrichia wadei</i>	5.33	<i>Corynebacterium matruchotii</i>	4.71	<i>Rothia dentocariosa</i>	5.09	<i>Treponema denticola</i>	3.21	
4	<i>Corynebacterium matruchotii</i>	4.11	<i>Leptotrichia hongkongensis</i>	2.81	<i>Corynebacterium matruchotii</i>	4.43	<i>Leptotrichia</i> Genus probe 3	3.05	
5	<i>Veillonella dispar</i>	2.40	<i>Fusobacterium</i> Genus probe 4	2.73	<i>Leptotrichia shahii</i>	3.16	<i>Corynebacterium matruchotii</i>	2.94	
6	<i>Leptotrichia shahii</i>	2.14	<i>Prevotella nigrescens</i>	2.52	<i>Fusobacterium</i> Genus probe 4	1.97	<i>Saccharibacteria</i> (TM7) [G-5]-like sp.	2.21	
7	<i>Fusobacterium</i> Genus probe 4	2.00	<i>Prevotella denticola</i>	2.09	<i>Leptotrichia</i> sp HTM 417	1.81	<i>Rothia dentocariosa</i>	2.15	
8	<i>Leptotrichia hongkongensis</i>	1.98	<i>Leptotrichia shahii</i>	2.09	<i>Leptotrichia hongkongensis</i>	1.76	<i>Parvimonas micra</i>	2.15	
9	<i>Prevotella nigrescens</i>	1.82	<i>Parvimonas micra</i>	1.74	<i>Leptotrichia</i> Genus probe 3	1.70	<i>Peptidiphaga</i> sp HTM 183	1.94	
10	<i>Veillonella</i> Genus probe 2	1.60	<i>Bacteroidales</i> [G-2] sp HTM 274	1.42	<i>Veillonella dispar</i>	1.68	<i>Peptoniphilaceae</i> [G-1] bacterium HMT 113	1.84	
<hr/>									
	<i>Treponema denticola</i>	21st	1.16	54th	0.47	35th	0.79	3rd	3.21
	<i>Tannerella forsythia</i>	108th	0.18	60th	0.38	87th	0.24	73rd	0.32
	<i>Porphyromonas gingivalis</i>	133rd	0.12	158th	0.07	149th	0.09	89th	0.25
	<i>Aggregatibacter actinomycetemcomitans</i>	297th	0.00	299th	0.00	282nd	0.01	261st	0.03
<hr/>									
Rank by quartile	Q1	Relative abundance (%)	Q2	Relative abundance (%)	Q3	Relative abundance (%)	Q4	Relative abundance (%)	
1	<i>Streptococcus</i> Genus probe 4	8.30	<i>Leptotrichia wadei</i>	7.42	<i>Streptococcus</i> Genus probe 4	7.60	<i>Streptococcus</i> Genus probe 4	6.76	
2	<i>Rothia dentocariosa</i>	7.83	<i>Streptococcus</i> Genus probe 4	7.24	<i>Leptotrichia wadei</i>	5.29	<i>Leptotrichia wadei</i>	4.94	
3	<i>Leptotrichia wadei</i>	4.96	<i>Rothia dentocariosa</i>	5.79	<i>Corynebacterium matruchotii</i>	4.18	<i>Treponema denticola</i>	3.18	
4	<i>Corynebacterium matruchotii</i>	4.36	<i>Corynebacterium matruchotii</i>	4.89	<i>Rothia dentocariosa</i>	2.46	<i>Corynebacterium matruchotii</i>	2.66	
5	<i>Leptotrichia shahii</i>	2.67	<i>Leptotrichia shahii</i>	3.46	<i>Leptotrichia</i> Genus probe 3	2.45	<i>Saccharibacteria</i> (TM7) [G-5]-like sp.	2.58	
6	<i>Leptotrichia hongkongensis</i>	2.37	<i>Leptotrichia</i> sp HTM 417	2.10	<i>Leptotrichia</i> sp HTM 498	2.40	<i>Rothia dentocariosa</i>	2.58	
7	<i>Veillonella dispar</i>	2.34	<i>Fusobacterium</i> Genus probe 4	1.87	<i>Leptotrichia shahii</i>	2.38	<i>Leptotrichia</i> Genus probe 3	2.14	
8	<i>Fusobacterium</i> Genus probe 4	1.79	<i>Veillonella dispar</i>	1.86	<i>Fusobacterium</i> Genus probe 4	2.14	<i>Parvimonas micra</i>	2.07	
9	<i>Streptococcus</i> Genus probe 1	1.55	<i>Leptotrichia hongkongensis</i>	1.84	<i>Leptotrichia</i> sp HTM 417	1.93	<i>Leptotrichia shahii</i>	1.80	
10	<i>Prevotella denticola</i>	1.50	<i>Leptotrichia</i> Genus probe 3	1.79	<i>Peptidiphaga</i> sp HTM 183	1.84	<i>Peptoniphilaceae</i> [G-1] bacterium HMT 113	1.79	
<hr/>									
	<i>Treponema denticola</i>	25th	0.96	50th	0.48	26th	0.99	3rd	3.18
	<i>Tannerella forsythia</i>	101st	0.18	115th	0.15	69th	0.32	69th	0.35
	<i>Porphyromonas gingivalis</i>	125th	0.13	212th	0.03	122nd	0.16	97th	0.22
	<i>Aggregatibacter actinomycetemcomitans</i>	297th	0.00	300th	0.00	237th	0.03	267th	0.02

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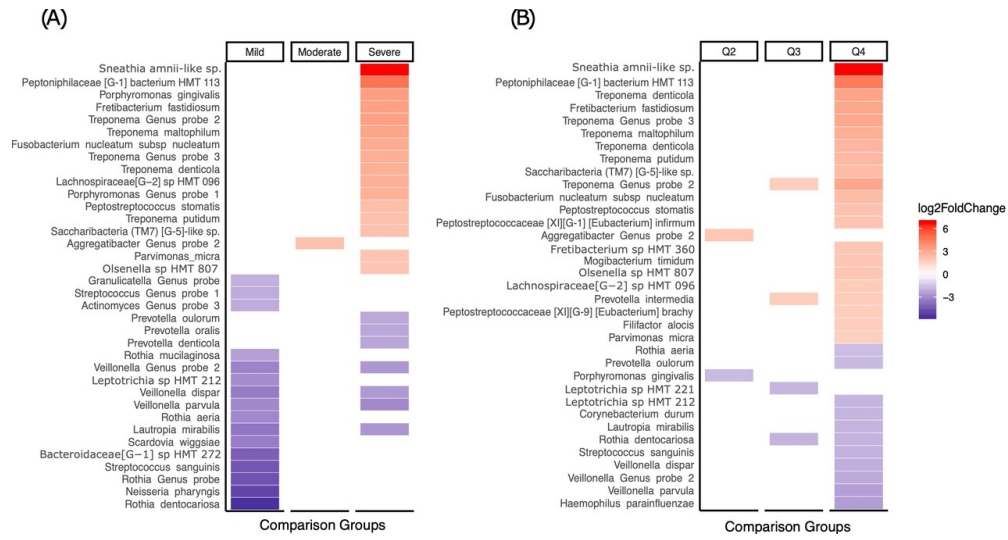


Figure 1. Heatmap of differential abundance between CDC/AAP classes (panel A) and quartiles of % teeth/person with pockets ≥ 4 mm deep (panel B).

108x56mm (300 x 300 DPI)

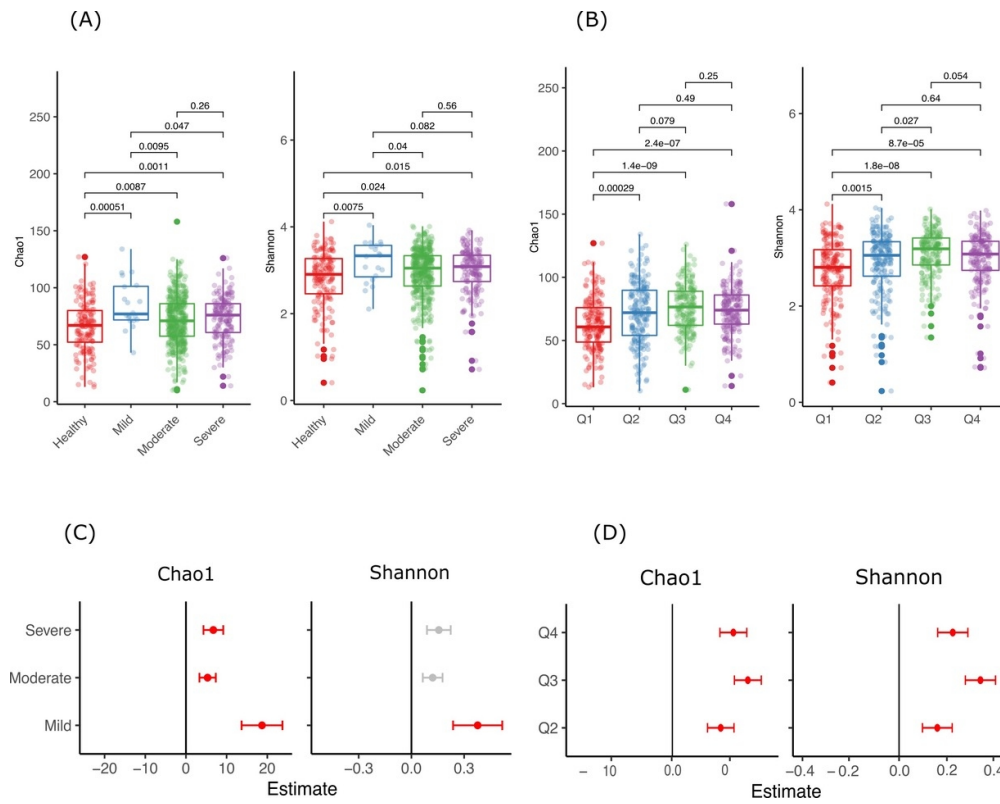


Figure 2. Alpha diversity using the DADA2 pipeline (Chao 1 estimator, left; Shannon index, right) according to CDC/AAP classes (panels A, C) and quartiles of % teeth/person with pockets ≥ 4 mm deep (panel B, D). Statistical significant differences were tested using the non-parametric Wilcoxon Rank Sum test.

103x81mm (300 x 300 DPI)

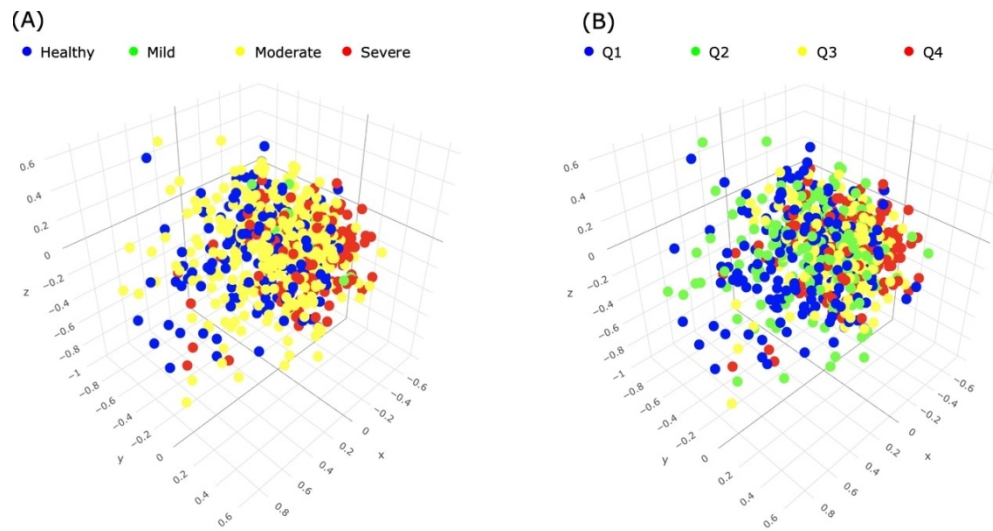


Figure 3. Three-dimensional Principal Coordinates Analysis (PCoA) plots of α -diversity using output from the DADA2 pipeline. Beta diversity is visualized by means of Bray-Curtis distance metrics. (A) CDC/AAP classes; (B) quartiles of % teeth/person with pockets ≥ 4 mm deep.

108x56mm (300 x 300 DPI)